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**Interplay Between Wind-Driven Advection and Mixing of Salt and Dissolved Oxygen in a  
Microtidal Estuary**

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24 **Key Points**

- 25 1. In shallow estuaries with small tides, wind-driven currents and mixing interact to control  
26 stratification and bottom oxygen concentrations
- 27 2. For cross- and up-estuary winds advection decreases stratification and turbulence is increased,  
28 leading to a well-mixed water column
- 29 3. For down-estuary wind the water column becomes stratified or well-mixed depending on the  
30 balance of advection and enhanced turbulence

31

32 **Abstract**

33 Most work on how estuarine dynamics impact dissolved oxygen (DO) distributions has focused  
34 on tides as the primary mixing mechanism, but in shallow estuaries with large fetch or small tides,  
35 wind can be the primary mixing agent and also drives advection. To investigate how these  
36 processes interact and affect DO distributions, an observational study was conducted in the shallow,  
37 micro-tidal Neuse Estuary (NRE). Salinity, DO, and velocity profiles were measured at multiple  
38 positions along and across the estuary over a 6-month period. A one-dimensional model (General  
39 Ocean Turbulence Model) provided additional insight into the response of salinity and DO to wind.  
40 Salinity and oxygen conservation equation terms were calculated from observations and  
41 simulations to investigate the roles of advection and mixing under different conditions. Cross-  
42 estuary wind drove lateral circulations and tilted the isohalines, reducing stratification; lateral  
43 advection and enhanced vertical mixing reduced vertical gradients and increased the bottom DO.  
44 Down-estuary wind tended to increase the exchange flow and increase stratification, but  
45 concurrently the wind-driven surface turbulent boundary layer deepened over time. The balance  
46 of these processes determined if the water column became fully mixed or remained stratified, and

47 the depth of the pycnocline and oxycline. Up-estuary wind inhibited the exchange flow and  
48 ultimately the combination of advection and vertical mixing homogenized the water column.  
49 While these patterns generally held for purely across- or along-channel wind, the response was  
50 often more complex because the wind vector could have any orientation and wind speed and  
51 direction varied continuously with time.

52

### 53 **Plain Language Summary**

54 Dissolved oxygen (DO) is fundamental for marine ecosystems and can be depleted when  
55 consumption in the sediment and water column exceeds replenishment by exchange with the  
56 atmosphere and vertical mixing. Estuaries with small tides often have problems with low DO near  
57 the bottom because turbulence is insufficient to mix the water column. In these estuaries, wind is  
58 important for driving currents and mixing, both of which affect vertical salinity gradients  
59 (stratification) and DO. We investigated wind effects on stratification and DO using measurements  
60 in the Neuse Estuary, which has very small tides, together with a simplified model. We found that  
61 wind blowing across the estuary or toward upstream mixes the water column and oxygenates the  
62 bottom water. Wind blowing toward downstream generally enhances downstream current in the  
63 upper layer and upstream current in the lower layer which brings more salty ocean water into the  
64 estuary, increases stratification, and leads to low bottom DO. However, if the wind is strong  
65 enough it can generate enough turbulence to fully mix the water column and increase bottom DO.  
66 Further research is needed to understand how real wind, which can come from any direction and  
67 varies with time, affects stratification and DO in estuaries.

68

### 69 **1 Introduction**

70 Dissolved oxygen (DO) is fundamental for marine ecosystems and constrains ocean  
71 productivity, biodiversity and biogeochemical cycles [Breitburg *et al.*, 2018]. Oxygen level has  
72 been decreasing in many coastal waters since the mid-1900s and the hypoxia occurrences ( $[O_2] <$   
73  $2 \text{ mg/L}$ , also termed dead zones) have been doubling each decade [R. J. Diaz, 2001; R.J. Diaz and  
74 Rosenberg, 2008; Keeling *et al.*, 2010]. Anthropogenic nutrient loads and climate change are  
75 considered two major causes. Over  $2 \text{ }^\circ\text{C}$  temperature increase was found in most dead zones by  
76 the end of the 20<sup>th</sup> century [Altieri and Gedan, 2015]. A 43% increase in riverine nitrogen fluxes  
77 between 1970 and 2000 happened in the coastal waters, causing eutrophication, dramatically  
78 stimulating primary production and inducing harmful algal blooms (HAB) and depleting oxygen  
79 [Reed and Harrison, 2016]. Although it is widely acknowledged that the increase of nutrient loads  
80 leads to an increase in the severity of hypoxia, correlating nutrient loads to interannual variations  
81 in hypoxic volume often fails to explain the majority of the variability [Hagy *et al.*, 2004; Scully,  
82 2010a]. Also, substantial reductions in nutrient loads have been made along many coasts, but  
83 oxygen levels have not met expectations and have continued to decline [Lee and Lwiza, 2008;  
84 Riemann *et al.*, 2015]. A deeper understanding of the mechanisms of hypoxia is therefore needed.

85 Hypoxia happens when biological consumption through respiration exceeds the rate of oxygen  
86 supplied by physical transport, air-sea fluxes and photosynthesis for sufficient periods of time  
87 [Breitburg *et al.*, 2018]. In estuarine and coastal areas, physical processes including vertical mixing  
88 and circulation patterns influence horizontal and vertical transport of DO. Stratification is  
89 considered a major cause of bottom hypoxia as it inhibits turbulence mixing and downward  
90 diffusion of DO from surface to bottom layers [Cui *et al.*, 2019; Officer *et al.*, 1984].

91 Wind forcing is known to affect estuarine circulation and stratification, especially in micro-  
92 tidal estuaries [Li and Li, 2012; Sanford and Chen, 2009; M.E. Scully *et al.*, 2005; Xie and Li,

93 2018]. Previously, wind stress was considered to be predominantly a source of energy that caused  
94 mixing and reduced estuarine stratification [*Simpson and Bowers, 1981; Simpson et al., 1991*].  
95 Scully et al. (2005) demonstrated that the along-channel wind plays an important role in governing  
96 the strength of the estuarine exchange flow and the corresponding increase or decrease in  
97 stratification. Sanford and Chen (2009) showed that up-estuary wind (wind directed toward up-  
98 estuary) tends to inhibit the exchange flow and decrease the stratification while down-estuary wind  
99 first increases then decreases the exchange flow and stratification. Such a transition results from  
100 the competition between wind straining and wind mixing. Wind straining is an increase or decrease  
101 in stratification caused by differential horizontal advection of salt in the upper and lower water  
102 column due to vertical shear in the wind-driven current. Wind stress also generates a turbulent  
103 boundary layer that grows downward with time and can erode stratification [*Kato and Phillips,*  
104 2006]. Wind straining dominates over wind mixing under moderate down-estuary wind but is  
105 quickly overcome by the wind mixing when the wind becomes strong [*Sanford and Chen, 2009*].  
106 Li and Li (2012) found from simulations that along-estuary wind could also drive lateral  
107 circulation due to the Coriolis effect, thereby tilting isopycnals and decreasing stratification.

108 Through affecting the estuarine circulation and stratification, the wind can further influence the  
109 DO dynamics and hypoxia events [*Cui et al., 2019; Lee and Lwiza, 2008; Malcolm E. Scully,*  
110 2010b; 2013]. Scully (2013) found that wind speeds and directions have a large impact on the  
111 seasonal cycle of hypoxia in Chesapeake Bay. Scully (2010b) showed that the wind-driven lateral  
112 circulation driven by the Coriolis effect in combination with along-channel wind, and enhanced  
113 vertical mixing due to the decrease of the stratification are two dominant mechanisms for providing  
114 oxygen to the bottom water.

115 For at least the past several decades, the Neuse River Estuary (NRE) has been experiencing  
116 hypoxia/ anoxia events frequently, especially in summer. Severe eutrophication due to  
117 anthropogenic nutrient loading provides a seasonal burst of organic matter supply to bottom waters  
118 in spring and summer and a legacy of organic rich sediments. In combination with vertical density  
119 stratification, the resultant high oxygen demand leads to bottom hypoxia [*Katin et al.* 2019].  
120 Stronger stratification is found to exacerbate the hypoxia [*Buzzelli et al.*, 2002b]. In the upper NRE,  
121 cross-channel wind has been observed to drive lateral circulations and upwell bottom low DO and  
122 high salinity water [*Reynolds-Fleming and Luettich*, 2004]. However, a quantitative understanding  
123 of wind effects on salinity and DO dynamics is needed.

124 This paper describes a combined observational and modeling study in the NRE to investigate  
125 wind effects (including speeds and directions) on salinity and DO dynamics in estuaries. Cross-  
126 channel, up-estuary and down-estuary wind events in the field observations are used to  
127 qualitatively and quantitatively describe the impacts of wind direction and speed on salinity and  
128 DO distributions. Dominant mechanisms controlling the salinity and DO distributions under  
129 different wind conditions are assessed using salinity and DO budgets based on observational data  
130 and 1-D model simulations.

131

## 132 **2 Methods**

### 133 **2.1 Study Site**

134 The Neuse River Estuary (NRE) is a 73 km-long estuary that connects with the Pamlico Sound.  
135 The estuary bends ~90 degrees at Minnesott Beach, which separates it into an upper part oriented  
136 roughly NW-SE and a lower part oriented roughly SW-NE (Figure 1). The NRE is characterized  
137 as a shallow (averaged depth <4 m), microtidal (tidal range < 30 cm), river-dominated estuary

138 [Luettich *et al.*, 2000]. Wind, therefore, is important for driving the mixing and circulation in the  
139 NRE [Rizzo and Christian, 1996]. The NRE varies from vertically well-mixed to highly stratified  
140 depending on the wind, and density stratification in the NRE is controlled mainly by salinity  
141 gradients, which are dynamically much more significant than the temperature gradients [Whipple  
142 *et al.*, 2006].

143

## 144 **2.2 Field measurements**

145 Three sets of field observations were used in this study: (1) time series of velocity and water  
146 quality parameter profiles from moorings at a central site (AVP site), (2) shipboard observations  
147 of velocity and water quality parameter profiles at stations along three cross-estuary transects, and  
148 (3) shipboard observations of water quality parameters at stations along the estuary collected as  
149 part of the MODMON program (Figure 1).

150 The AVP site was at the center of the lower NRE and was the focus site for the analysis. An  
151 Autonomous Vertical Profiler (AVP) was deployed in the middle of the estuary channel to measure  
152 profiles of salinity, temperature and dissolved oxygen (DO) from May 16<sup>th</sup> to Oct. 4<sup>th</sup>, 2016. The  
153 AVP is a floating platform that lowers a CTD (EXO2 Sonde, YSI) through the water column  
154 [Reynolds-Fleming *et al.*, 2002; Whipple *et al.*, 2006]. The CTD was lowered at a constant rate of  
155 0.01 m/s from the surface to 6 m depth at 30-min intervals. An anemometer on the AVP platform  
156 measured the wind speed and direction 5 m above the water surface, also at 30-min intervals. A  
157 CTD chain adjacent to the AVP that contained three SBE37-SMP-ODO CTDs (1, 2.85 and 4.71  
158 m above seabed) was deployed to verify calibration of the Sonde on the AVP. An upward-looking  
159 acoustic Doppler current profiler (ADCP, Teledyne-RD Instruments 1.2-MHz Workhorse Monitor)

160 mounted at the bottom (0.63 m above the seabed) adjacent to the AVP measured velocity profiles  
161 in 0.25-m vertical bins at 5-min intervals.

162 To measure the structure of salinity, DO and velocity across the estuary, shipboard  
163 measurements were made along transects at the mouth, middle of the lower Neuse, and bend. There  
164 were 10 - 12 equally spaced stations (500 m apart) on each cross-estuary transect. Shipboard  
165 measurements were collected at each station once a day (around noon) on 8 days (8 and 20 June,  
166 5 and 18 July, 3 and 16 August, 7 and 19 September 2016). At each station, velocity profiles were  
167 measured with a boom-mounted ADCP for six minutes in mode 1, with 0.25 m bins. CTD casts  
168 (SBE19plus V2, Seabird Electronics) were made at each station with a sampling rate of 4 Hz to  
169 measure salinity, temperature and DO profiles.

170 This study also utilized measurements from the Neuser River Estuary Modeling and  
171 Monitoring program (ModMon) [Luettich *et al.*, 2000]. The ModMon dataset includes vertical  
172 profiles of temperature, salinity, and DO measured biweekly at stations along the main channel  
173 of the NRE. ModMon data are publicly available and can be accessed through the Southeast  
174 Coastal Ocean Observing Regional Association's data portal at <https://portal.secoora.org/>.

175

### 176 **2.3 Salinity and Oxygen Budget Calculations**

177 Salinity and oxygen budgets were analyzed by calculating terms of the conservation equations  
178 from the field measurements. Vertical advection and horizontal mixing were assumed to be  
179 negligible compared with other terms. The conservation equation for salinity is

$$180 \quad \frac{\partial S}{\partial t} = \frac{\partial}{\partial z} \left( K_z \frac{\partial S}{\partial z} \right) - u \frac{\partial S}{\partial x} - v \frac{\partial S}{\partial y} \quad (1)$$



181 where  $S$  is the salinity,  $u$  and  $v$  are the along-channel and cross-channel velocities, and  $K_z$  is the  
 182 vertical eddy diffusivity. The first term on the right is the vertical mixing and the second and third  
 183 terms are along-channel and cross-channel advection.

184 The conservation equation for dissolved oxygen is

$$185 \quad \frac{\partial C_{O_2}}{\partial t} = \frac{\partial}{\partial z} \left( K_z \frac{\partial C_{O_2}}{\partial z} \right) - u \frac{\partial C_{O_2}}{\partial x} - v \frac{\partial C_{O_2}}{\partial y} + P \quad (2)$$

186 where the first term on the right is the vertical mixing of oxygen, the second and third terms are  
 187 the along-channel and cross-channel advection and the fourth term represents production and  
 188 respiration by phytoplankton. The expression used for  $P$  is [Jassby and Platt, 1976]

$$189 \quad P = PQ \frac{32}{12} C_{chl} \left( P_{max} \tanh \frac{\alpha I_z}{P_{max}} - R \right) \quad (3)$$

$$190 \quad R = 0.1 P_{max} \quad (4)$$

191 where the  $PQ$  is photosynthetic quotient for phytoplankton growing on ammonium [Oviatt *et al.*,  
 192 1986; Smith *et al.*, 2012],  $C_{chl}$  is the concentration of chlorophyll  $a$  in the water and a typical value  
 193 is used (Table 1),  $P_{max}$  is the average chlorophyll  $a$  specific light saturated photosynthetic rate and  
 194  $\alpha$  is the average slope of the light-limited region of the  $P$  versus irradiance relationship [Buzzelli  
 195 *et al.*, 2002a].  $I_z$  is the irradiance at the depth  $z$  below the water surface based on Beer's law:

$$196 \quad I_z = I_o e^{K_d * z} \quad (5)$$

197 where  $I_o$  is the incident irradiance:

$$198 \quad I_o = \begin{cases} -I_{max} \cos 2\pi t, & \cos 2\pi t < 0 \\ 0, & \cos 2\pi t \geq 0 \end{cases} \quad (6)$$

199  $t$  is time in days ( $t = 0$  is midnight).  $I_{max}$  is the maximum irradiance at the surface.  $K_d$  in Eq. 5 is  
 200 the extinction coefficient for photosynthetically active radiation, and  $z$  is the depth below the water  
 201 surface.  $R$  is the respiration rate of the phytoplankton and it is set as 10% of the  $P_{max}$  (Eq. 4). The  
 202 values of parameters from Eq. 3 to Eq. 6 are shown in Table 1.

203 Every term in Eq. 1 and Eq. 2 was calculated at the AVP station except the mixing term because  
204  $K_z$  was not known. The along-estuary gradients,  $\frac{\partial S}{\partial x}$  and  $\frac{\partial C_{O_2}}{\partial x}$ , were calculated based on the AVP  
205 and two adjacent MODMON stations (station 140 and 180 shown in Figure 1). The across-estuary  
206 gradients,  $\frac{\partial S}{\partial y}$  and  $\frac{\partial C_{O_2}}{\partial y}$ , were calculated based on the AVP and two adjacent central transect  
207 shipboard stations (station 5 and 7). The horizontal velocity measured by the bottom-mounted  
208 ADCP was decomposed into along-channel (x) and cross-channel (y) components ( $u, v$ ). The  
209 major axis of the depth-averaged velocity from the 6-month dataset was used to define the along-  
210 channel direction (positive seaward).

211

## 212 **2.4 Idealized Simulations**

213 Idealized simulations were used to investigate the role of turbulent mixing for the salinity and  
214 DO dynamics, and compare salinity and DO budgets with the observations. The General Ocean  
215 Turbulence Model (GOTM) was used to simulate the evolution of velocity and salinity profiles,  
216 and turbulence properties under different wind conditions. GOTM is a 1-dimensional (vertical)  
217 model that solves the transport equations of momentum, salt and heat [Umlauf *et al.*, 2005]. It  
218 contains multiple well-tested turbulence models that are widely used [Ladwig *et al.*, 2021; Lange  
219 *and Burchard*, 2019].

220 The model setup was based on the observation data, with a depth of 6.3 m and initial salinity  
221 and temperature profiles from June 20<sup>th</sup>. The model was initialized by setting velocity, vertical  
222 eddy viscosity and diffusivity to zero. The vertical eddy viscosity and diffusivity were calculated  
223 by the Mellor-Yamada (M-Y) turbulence closure model with the stability function proposed by  
224 Schumann *et al.* [Mellor *and Yamada*, 1982; Schumann *and Gerz*, 1995]. The bottom roughness  
225 ( $z_0$ ) was calculated based on a log fit to velocity profiles measured with downward-looking current

226 profiler (Nortek Aquadopp-HR) near the AVP station that measured in high resolution (pulse  
 227 coherent) mode. Constant along-channel and lateral salinity gradients ( $\frac{\partial S}{\partial x}, \frac{\partial S}{\partial y}$ ) from the data were  
 228 used. The model was forced by winds (Table 2) and a constant river inflow (0.01 m/s) with no tide  
 229 or Coriolis force. Wind stress was calculated from wind velocity with a constant drag coefficient  
 230 ( $C_d$ ) [Blanton *et al.*, 1989]. Down-estuary, up-estuary and cross-estuary wind events were  
 231 simulated to investigate wind effects on salinity and oxygen budgets. For down-estuary winds,  
 232 simulations were run for three wind speeds (5, 10, 15 m/s) to investigate the implications for wind  
 233 straining and wind mixing. For up-estuary winds and cross-channel winds, the wind speed was 5  
 234 m/s. In the along-channel wind cases, the cross-estuary salinity gradient  $\frac{\partial S}{\partial y}$  was set as zero. Every  
 235 simulation ran for 60 hours (started from midnight) but the 10 m/s down-estuary wind scenario ran  
 236 for 1 month to capture the final steady state. Values used for parameters are shown in Table 2.

237 Evolution of dissolved oxygen profiles was calculated by solving the conservation equation for  
 238 dissolved oxygen. Velocity, temperature and eddy diffusivity profiles from GOTM output were  
 239 used. Along-channel and lateral oxygen gradients ( $\frac{\partial C_{O_2}}{\partial x}, \frac{\partial C_{O_2}}{\partial y}$ ) were set to constant values based  
 240 on observations. The lateral oxygen gradient ( $\frac{\partial C_{O_2}}{\partial y}$ ) was set as zero for the along-channel wind  
 241 events. The air-sea oxygen exchange was included as a flux boundary condition at the water  
 242 surface. The expression of air-sea oxygen flux is [Wanninkhof *et al.*, 2009]:

$$243 \quad ASX = k k_o \Delta P_{O_2} \quad (7)$$

244 where the  $k$  is the piston velocity and  $k_o$  is Henry's constant.  $\Delta P_{O_2}$  is the difference of partial  
 245 pressures of oxygen between the air and water. The expression for  $k$  is:

$$246 \quad k = k_{600} \frac{S_c}{600}^{-0.5} \quad (8)$$

247 where the  $k_{600}$  is the piston velocity of CO<sub>2</sub> and the  $S_c$  is the Schmidt number for oxygen based  
248 on Wanninkhof et al. (2009). The sediment oxygen demand (SOD) was applied as a flux at the  
249 bottom boundary and considered a constant (25 mmol/(m<sup>2</sup>\*d)) based on observations from  
250 previous studies [Luettich Jr et al., 2000]. The production and respiration term was calculated  
251 based on equation 3 with the values of parameters shown in Table 1. The conservation equation  
252 for dissolved oxygen (Eq. 2) was solved using a forward differencing scheme in MATLAB.

253

### 254 **3 Results**

#### 255 **3.1 Overview of Field Observations**

256 During the 6-month observation period, there were general relationships between wind,  
257 stratification strength and bottom DO. In June and September, the wind was mostly in the NE  
258 (toward north shore)-SW (toward south shore) directions (Figure 2a and 2c) and had both an along-  
259 channel and cross-channel component in the lower Neuse. During July and August, there was  
260 strong variability in wind speed and direction at diurnal frequencies due to the sea breeze.  
261 Stratification, expressed as salinity difference between surface and bottom ( $\Delta S$ ), generally  
262 correlated with the along-channel wind, increasing with down-estuary wind and decreasing with  
263 up-estuary wind. Bottom DO was inversely correlated with the along-channel wind or the  $\Delta S$ ,  
264 decreasing during down-estuary wind when the water column was more stratified and increasing  
265 during up-estuary wind when the water column was more mixed. Bottom DO was almost  
266 completely depleted (anoxic) in the observed area for about a month from July 18<sup>th</sup> to Aug. 28<sup>th</sup>  
267 under the continuous moderate down-estuary wind condition and finally rose when the wind  
268 switched to up-estuary direction for about three days after Aug. 28<sup>th</sup> (Figure 2c and 2d).

269 Two days were selected from the 6-month dataset to study the wind effects on the salinity and  
270 DO dynamics: June 20<sup>th</sup> to June 21<sup>st</sup> at noon and Sept. 19<sup>th</sup> (Figure 3 and 4) because they contained  
271 significant wind events oriented in along-channel and cross-channel directions of sufficient  
272 duration, and because all the datasets contained data for these days. On June 20<sup>th</sup>, the wind blew  
273 south-eastward, cross-channel toward the south shore during the first half of the day and switched  
274 to a north-eastward, down-channel direction during the latter half of June 20<sup>th</sup> into June 21<sup>st</sup> (Figure  
275 3a). On Sept. 19<sup>th</sup>, the wind blew north-westward, cross-channel toward the north shore, during  
276 the first half and turned to south-westward toward up-channel direction during the latter half  
277 (Figure 4a).

278 On June 20<sup>th</sup>, when the wind was directed across the estuary during the first half of the day, a  
279 clockwise lateral circulation was observed with a maximum speed of 0.21 m/s (Figure 3c, 5a).  
280 Surface fresher water was advected to the south shore and bottom saltier water to the north shore.  
281 The halocline, therefore, was tilted and the stratification was decreased. Bottom low DO water was  
282 also pushed to the north shore (Figure 5c). As the down-estuary component of the wind increased,  
283 the exchange flow strengthened and the surface outflow layer deepened (Figure 3b). A high salinity  
284 layer was formed at first at the bottom as a result of the increase of the exchange flow (Figure 3d).  
285 Dissolved oxygen concentrations in this layer were very low ( $DO < 2\text{mg/L}$ , Figure 3e). With the  
286 increase of the wind speed, the halocline deepened and finally disappeared at noon on June 21<sup>st</sup> at  
287 which time the water column was well-mixed. The low DO layer also decreased in thickness and  
288 disappeared when the water column became well-mixed. After midnight, the exchange flow began  
289 to decrease and disappeared in several hours, possibly due to establishment of barotropic (water  
290 surface slope) and baroclinic pressure gradients (isopycnal tilt) that balanced the wind stress.

291 On Sept. 19<sup>th</sup>, the wind first blew toward the north shore (Figure 4a) resulting in clockwise  
292 lateral circulation with maximum speed of about 0.2 m/s (Figure 4c). Surface fresher water was  
293 pushed to the north shore and bottom saltier water to the south shore, the halocline was tilted and  
294 stratification decreased (Figure 5b). Following the salinity, bottom low DO water was pushed to  
295 the south shore (Figure 5d). The up-estuary wind started to blow from about 18:00 and continued  
296 to increase to a maximum speed of 12 m/s (Figure 4a). The exchange flow was initially reversed,  
297 with upstream flow at the surface and downstream flow near the bottom (Figure 4b). Salinity  
298 stratification decreased with time until the water became well-mixed (Figure 4d). After the salinity  
299 became uniform over the water depth, the velocity was up-estuary over the entire water column.  
300 As stratification decreased, the DO in the bottom layer increased from about 3 mg/L to 5.5 mg/L  
301 when the water column became well-mixed (Figure 4e).

302

### 303 3.2 Salinity and DO Budgets from Observations

304 Terms of the salinity and DO budget equations were calculated from field observations for  
305 cross-channel wind events (black dashed lines in Figures 3 and 4) and at night for along-channel  
306 wind events on both days (black dotted lines in Figures 3 and 4). Along-channel and cross-channel  
307 gradients of salinity and DO ( $\frac{\partial S}{\partial x}$ ,  $\frac{\partial C_{O_2}}{\partial x}$ ,  $\frac{\partial S}{\partial y}$  and  $\frac{\partial C_{O_2}}{\partial y}$ ) were only measured during the daytime,  
308 during the period when wind was across channel; therefore, advection terms were only calculated  
309 for cross-channel wind events. Vertical mixing terms were not calculated because the vertical eddy  
310 diffusivity ( $K_z$ ) was not known. Thus, the time rate of changes of salinity and DO ( $\frac{\partial S}{\partial t}$ ,  $\frac{\partial C_{O_2}}{\partial t}$ ),  
311 longitudinal and lateral advectons of salinity and DO ( $-u \frac{\partial S}{\partial x}$ ,  $-v \frac{\partial S}{\partial y}$ ,  $-u \frac{\partial C_{O_2}}{\partial x}$ ,  $-v \frac{\partial C_{O_2}}{\partial y}$ ) and  
312 production and respiration of DO ( $P$ ) were calculated for the cross-channel wind events and only

313 the time rate of changes of salinity and DO ( $\frac{\partial S}{\partial t}, \frac{\partial C_{O_2}}{\partial t}$ ) and production and respiration of DO ( $P$ )  
314 were calculated for the along-channel wind events.

315

### 316 *3.2.1 Cross-estuary wind events*

317 Cross-channel wind on both June 20<sup>th</sup> and Sept. 19<sup>th</sup> drove strong lateral circulation and the  
318 halocline was tilted, increasing the lateral gradient of salinity. Lateral advection of salinity  
319 dominated over longitudinal advection and controlled the total rate of change of salinity (Figure  
320 6a and 6c). Lateral advection was positive (acted to increase salinity) in the surface layer and  
321 negative (acted to decrease salinity) in the bottom layer, decreasing the vertical gradient of salinity.  
322 The positive peak in the lateral advection term at about 5 m depth in Figure 6a was due to the  
323 oscillations of the halocline, creating an opposite gradient of salinity at that depth and time. A  
324 negative cross-channel gradient of salinity at about 5 m depth can be seen in the shipboard transects  
325 around station 6, where the AVP was located (Figure 5). Although the basic patterns in the  
326 advection ( $-v \frac{dS}{dy}$ ) and rate of change of salinity ( $\frac{dS}{dt}$ ) terms in Figure 6a and 6c were similar, they  
327 were not identical, meaning that lateral advection only caused part of the total change of salinity.  
328 This imbalance of terms in the salinity budget suggests vertical mixing also played an important  
329 role during cross-channel wind events. The role of vertical mixing is investigated further with  
330 idealized model simulations in section 4.3.

331 For the dissolved oxygen (DO) budget (Figure 6b and 6d), lateral advection ( $-v \frac{dC_{O_2}}{dy}$ ) and  
332 production and respiration ( $P$ ) together controlled the total time rate of change of DO ( $\frac{dC_{O_2}}{dt}$ ).  
333 Because they were not measured, constant chlorophyll concentration and respiration rate were used  
334 to calculate  $P$ . The uncertainty in  $P$  is therefore large, but the patterns are still informative. The

335 lateral advection terms were negative (reduced DO) in the surface layer and positive (increased  
336 DO) in the bottom layer, and thus tended to decrease the vertical oxygen gradient. Near the surface,  
337  $P$  was positive and much larger than the advection terms, indicating that photosynthesis dominated  
338 changes in DO concentration in the upper water column.  $P$  decreased with depth and was negative  
339 in the lower water column, meaning respiration dominated over photosynthesis and the term was  
340 a sink of DO in the bottom layer. However, the bottom DO was still increasing with time (positive  
341  $\frac{dC_{O_2}}{dt}$ ), due to the larger positive lateral advection term. This reveals that during cross-channel wind  
342 events, lateral circulation transports surface higher DO water to the bottom layer and this  
343 dominates over respiration.

344

### 345 *3.2.2 Down-estuary wind event*

346 During the down-estuary wind event, the wind stress increased with time (Figure 7a), and three  
347 different stages can be seen in both  $\frac{dS}{dt}$  and  $\frac{dC_{O_2}}{dt}$  profiles (Figure 7b and 7c). During the first stage  
348 (15:00-17:30, Figure 8a and 8b),  $\frac{dS}{dt}$  was close to zero at the surface and increased rapidly from  
349 about 5 m depth to the bottom and  $\frac{dC_{O_2}}{dt}$  was positive at the surface and decreased rapidly from 5  
350 m depth to the bottom. In this stage, the exchange flow was increased (Figure 8a) and high salinity  
351 water was transported up-estuary to the AVP station near the bottom, increasing the density  
352 difference between the lower and upper water column and forming two distinct layers. DO was  
353 also decreased in the bottom layer due to the increased stratification and associated reduced mixing.  
354 In the second stage (17:30-19:30, Figure 8c and 8d),  $\frac{dS}{dt}$  was negative in the surface layer and  
355 positive in the bottom layer, and the boundary between the two layers was at about 5 m depth. This  
356 indicates the along-channel advection was still strong, with the exchange flow transporting fresher



357 water downstream at the surface and saltier water upstream at the bottom. The two layers are also  
 358 clear from the  $\frac{dC_{O_2}}{dt}$  profiles, with the boundary between the two layers at about 5 m depth. Within  
 359 each layer,  $\frac{dC_{O_2}}{dt}$  was negative at the surface and positive at the bottom of each layer, meaning that  
 360 the DO concentration was not uniform within each layer and there was vertical mixing within each  
 361 layer but little exchange between the layers. In the final stage (19:30-midnight, Figure 8e and 8f),  
 362  $\frac{dS}{dt}$  was positive at the surface and negative at the bottom and  $\frac{dC_{O_2}}{dt}$  was negative at the surface and  
 363 positive at the bottom. This indicates that vertical mixing dominated the salinity and DO budgets,  
 364 and bottom higher salinity and lower DO water was mixed into the upper water column, tending  
 365 to decrease the stratification.

366 In these three stages, wind transitioned from acting to increase the exchange flow and strain the  
 367 along-channel salinity gradient, to acting to mix the whole water column. This transition is evident  
 368 from the change of the surface mixed layer, the layer near the surface where momentum and  
 369 dissolved materials are vertically uniform due to wind mixing. The exchange flow increased over  
 370 time with the increase of the wind speed, and the surface outflow layer ( $h_s$ ) created by the wind  
 371 deepened (Figure 7d and 7e). The depth of the most positive  $\frac{dC_{O_2}}{dt}$  ( $\frac{dC_{O_2}}{dt}_{max}$ ) near the surface  
 372 increased with time and matched  $h_s$ , indicating  $\frac{dC_{O_2}}{dt}_{max}$  was caused by the turbulent mixing at the  
 373 base of the surface mixed layer. Thus,  $\frac{dC_{O_2}}{dt}_{max}$  is used to indicate the depth of the surface mixed  
 374 layer. The buoyancy frequency ( $N$ , where  $N^2 = -\frac{g}{\rho} \frac{d\rho}{dz}$ ) was calculated as an indicator of  
 375 stratification. The depth of the highest  $N^2$  ( $N^2_{max}$ ) was used to represent the depth of the layer of  
 376 strong stratification separating the upper and lower salinity layers. The depth of  $N^2_{max}$  decreased  
 377 at first from 16:00 to 20:00, indicating the bottom layer thickened due to the increase of the

378 exchange flow.  $h_s$  and  $\frac{dC_{O_2}}{dt}_{max}$  deepened and met the stratification layer at 20:00, producing high  
379 vertical mixing at the stratification layer. From here the vertical mixing created by the wind eroded  
380 the stratification layer. The depth of  $N^2_{max}$  then increased from 20:00 to the end and the  
381 stratification weakened.

382

### 383 *3.2.3 Up-estuary wind event*

384 During the up-estuary wind on Sept. 19<sup>th</sup>, reversed exchange flow was created initially which  
385 increased the salinity at the surface and decreased it at the bottom. The reversed exchange flow  
386 disappeared after the water column became vertically uniform (Figure 4b and 9d). The reversed  
387 exchange flow decreased the stratification, which made the water column more susceptible to  
388 vertical mixing. As the wind stress increased,  $\frac{dS}{dt}$  became more positive at the surface (salinity  
389 increasing) and more negative at the bottom (salinity decreasing) at first and then reduced to zero  
390 in the end (Figure 9b, 10a and 10c). Advection associated with the reverse exchange flow and high  
391 vertical mixing together reduced the vertical salinity gradient until the salinity became vertically  
392 uniform. Similarly, at first  $\frac{dC_{O_2}}{dt}$  became more negative at the surface and more positive at the  
393 bottom, then it reduced to zero in the end (Figure 9c, 10b and 10d). This indicates that high vertical  
394 mixing transported the surface high DO water to the bottom, mixing up the DO profile.

395

### 396 **3.3 Salinity and DO budgets from Idealized Simulations**

397 As it was not possible to calculate every term in the salinity and DO budgets from the field  
398 observations, idealized simulations using GOTM were used to further evaluate the role of each  
399 term during different types of wind events. Simulations were performed with cross-estuary and  
400 up-estuary wind of 5 m/s and downstream winds of 5 m/s, 10 m/s and 15 m/s. The simulations

401 were run for 60 hours (started from midnight) for each wind condition but were run for 1 month  
402 for the 10 m/s down-estuary wind condition because it was close to a transition point between wind  
403 straining and wind mixing thus it took much longer time to reach steady state. The complete  
404 salinity and DO budgets were calculated based on the model output early in the simulation (after  
405 12 hours) and in the end.

406 Vertical profiles of the eddy diffusivity ( $K_z$ ) at 12 h and 60 h for four of the five wind condition  
407 are shown in Figure 11. For the cross-channel wind, initially  $K_z$  was high within the surface layer  
408 but decreased rapidly below 4 m above bottom, where the salinity started to increase. After 60  
409 hours, the water column was well mixed (one layer) so  $K_z$  was small at the surface and bottom and  
410 large in the middle of the water column (Figure 11a). For the up-estuary wind, similar to the cross-  
411 channel wind, after 12 h  $K_z$  was small within the layer of high stratification between 4 m and 6 m  
412 above bottom. After 60 hrs the salinity was uniform throughout and  $K_z$  was low at the surface and  
413 bottom and high in the middle of the water column (Figure 11b). For the 5 m/s down-estuary wind,  
414 stratification increased throughout the 60-hr simulation. There were two well-defined layers and  
415 the salinity was uniform within each layer.  $K_z$  was high in the middle of each layer and low at the  
416 top and bottom of each layer (Figure 11c). For the 15 m/s down-estuary wind, the stratification  
417 layer deepened more rapidly compared to the 5 m/s wind situation thus the low  $K_z$  layer was deeper  
418 than the 5 m/s situation. After 60 hours the water column was well-mixed and  $K_z$  was maximum  
419 in the middle of the water column, like the cross-channel and up-estuary wind situations (Figure  
420 11d).

421

422 *3.3.1 Cross-estuary wind*

423 For the cross-channel wind simulations, lateral advection dominated over longitudinal  
424 advection due to the strong lateral circulation forced by the wind and the assumed lateral salinity  
425 gradient. For the 5 m/s wind, consistent with the observations, high salinity water was initially  
426 advected across the estuary in the wind direction in the upper water column and low salinity water  
427 was advected in the opposite direction in the lower water column, increasing salinity at the surface  
428 and decreasing salinity at the bottom (Figure 12a). The vertical mixing term was largest in the  
429 lower water column and it was comparable in size with the lateral advection. Lateral advection  
430 and vertical mixing together decreased the salinity at the bottom and increased it at the surface.  
431 After 60 hours (Figure 12c), the salinity profile was well mixed and  $\frac{dS}{dt}$  was constant throughout  
432 the water column and negative due to the downstream depth-averaged current, which caused loss  
433 of salt. Lateral advection was balanced by vertical mixing. Similar to the observations, vertical  
434 mixing of dissolved oxygen was strong enough to diffuse surface DO produced by photosynthesis  
435 to the bottom layer and increase bottom DO (Figure 12b). After 60 hours, the water became well-  
436 mixed and the vertical mixing balanced the photosynthesis and respiration (Figure 12d).

437

### 438 *3.3.2 Down-estuary wind*

439 Three different down-estuary wind speeds (5, 10, 15 m/s) were simulated and the salinity and  
440 DO budgets were calculated for each scenario. For the 5 m/s down-estuary wind, the salinity  
441 profile became more stratified with time and the halocline strengthened and deepened initially and  
442 finally ceased deepening at 5 m depth (Figure 13a). Salinity increased at the bottom and decreased  
443 at the surface due to the along-channel advection (Figure 14a and 14c). Vertical mixing was strong  
444 in the stratified layer initially when the halocline was forming, and it balanced along-channel  
445 advection in the surface layer but was weak in the strongly stratified layer and in the bottom layer

446 where the salinity gradient was small (Figure 14a). After the halocline ceased deepening, the  
447 vertical mixing was strong only in the surface layer where it balanced the along-channel advection  
448 and vertical mixing was weak at the halocline and bottom layer (Figure 14c). The cross-channel  
449 salinity gradient was set to zero during along-channel wind events thus the lateral advection was  
450 zero.

451 Consistent with the salinity, the vertical DO gradient became stronger with time after the onset  
452 of downstream wind and the bottom layer DO ultimately decreased to zero (anoxic, Figure 13b).  
453 Vertical mixing was strong in the surface layer and top of the bottom low DO layer when it was  
454 still forming and was weak in the layer of strong stratification that separated the upper and lower  
455 layers. DO decreased in the bottom layer due to respiration and vertical mixing combined by the  
456 sediment oxygen demand. (Figure 14b). After 60 hours when the bottom layer was well-formed  
457 and anoxic, the vertical mixing became weak in the stratified layer and bottom layer (Figure 14d).  
458 In the surface layer, the vertical mixing brought the DO produced by the photosynthesis to the  
459 lower part of the surface layer which was below the euphotic zone.

460 For the 10 m/s down-estuary wind, similar to the 5 m/s wind situation, the salinity difference  
461 between upper and lower layers increased with time and the depth of halocline increased and  
462 finally ceased deepening at 5.8 m depth, which was deeper than the 5 m/s situation (Figure 13c).  
463 Consistent with the 5 m/s wind situation, the salinity decreased at the surface and increased at the  
464 bottom due to along-channel advection, creating a strong halocline. Vertical mixing was strong  
465 initially in the stratified layer when the halocline was still deepening. In the end, vertical mixing  
466 was strong in the surface layer where it balanced the along-channel advection and dropped at the  
467 stratification layer and bottom layer (Figure 15a).

468 The DO profile followed a similar pattern, with decreasing thickness of the bottom low DO  
469 layer over time (Figure 13d). Vertical mixing was strong in the surface layer and top of the bottom  
470 low DO layer when it was still forming and was weak in the stratified layer. The bottom DO was  
471 not completely depleted, in contrast to the 5 m/s wind situation. In the end, the vertical mixing was  
472 strong enough in the surface layer to bring the DO produced by the photosynthesis to the lower  
473 part of the surface layer. Mixing was weak at the stratification layer and within the bottom layer  
474 (Figure 15b).

475 When the wind was 15 m/s toward down-estuary, the bottom high salinity layer became thinner  
476 more rapidly and went away at about 40 hours, when the salinity profile became well-mixed  
477 (Figure 13e). After the water was well-mixed, the vertical mixing diffused bottom high salinity  
478 water to the upper water column and along-channel advection transported salt out of this location,  
479 causing a net loss of the salt (Figure 15c). The bottom low DO layer also became thinner with time  
480 (Figure 13f) and after salinity stratification went away, the vertical mixing became strong and the  
481 low DO water was rapidly diffused toward the surface. The DO reached a steady state in which  $P$   
482 was balanced by the vertical mixing (Figure 15d).

483 In conclusion, during the down-estuary wind, the wind initially acted to increase the exchange  
484 flow, strain the salinity field, and enhance stratification and hypoxia. For small wind speeds, a  
485 steady state was reached in which the surface mixed layer stopped deepening. For larger wind  
486 speeds, the surface layer deepened and the bottom layer became thinner. After some time, the  
487 effects of wind mixing exceeded the wind straining and the whole water column became well  
488 mixed.

489

490 *3.3.3 Up-estuary wind*

491 For the up-estuary wind, the wind always acted primarily to mix the water column, decreasing  
492 the stratification and generating vertical mixing. The halocline deepened and finally disappeared  
493 (Figure 13g). Salinity initially decreased at the bottom and increased at the surface due to along-  
494 channel advection. Vertical mixing happened within each layer and was strong at the stratified  
495 layer separating the upper and lower layers, acting to erode the stratification (Figure 16a). After  
496 60 hours (Figure 16c), the salinity profile became uniform and along-channel advection due to the  
497 reversed exchange flow was balanced by convective vertical mixing throughout the water column.  
498 The bottom low DO layer became thinner as well over time and after the water column was well-  
499 mixed, the bottom low DO water was quickly mixed upward (Figure 13h). DO increased at the  
500 stratification layer separating the upper and lower layers due to the high vertical mixing (Figure  
501 16b). The bottom layer DO continued to decrease due to vertical mixing combined with sediment  
502 oxygen demand when the stratification still existed. Along-channel advection was weak compared  
503 to vertical mixing because of the weak along-channel gradient of DO. After 60 hours when the  
504 salinity was well-mixed, the DO reached a steady state in which the vertical mixing balanced the  
505 P (Figure 16d).

506

## 507 **4 Discussion**

508

### 509 **4.1 Influence of wind direction and speed on salinity and oxygen dynamics**

510 Lateral circulation can be driven under different wind cases and modulates the salinity and DO  
511 profile simultaneously. In this study, we observed lateral circulation that was driven by cross-  
512 estuary winds that acted to tilt isopycnals, and thus reduce vertical stratification and increase  
513 bottom DO. This differs from previous work in the Chesapeake Bay that reported lateral circulation

514 driven by along-channel winds. Li and Li (2012) showed, from model simulations in the  
515 Chesapeake Bay, along-channel winds drive strong lateral circulations due to the Ekman transport  
516 caused by Coriolis effect. Isopycnals are tilted in the across-channel direction, which creates high  
517 lateral baroclinic pressure gradient and interacts back with the Ekman transport. In the NRE, the  
518 average water depth ( $< 4 \text{ m}$ ) is much less than the Ekman layer thickness [*Csanady, 1967*]. Thus,  
519 the lateral circulations observed in this study are primarily driven by the cross-channel winds.  
520 Reynolds-Fleming and Luettich (2004) measured salinity and DO profiles at each side of the upper  
521 NRE and found a correspondence between high salinity and low DO in the bottom water on each  
522 side of the estuary under cross-channel winds. The present study provides a more complete view  
523 of salinity and DO cross-sections and cross-estuary circulation under different wind conditions.  
524 The bottom high salinity and low DO layer is clearly shown to be pushed to the side of the estuary  
525 due to lateral circulation under cross-channel winds. Furthermore, salinity and DO budgets show  
526 that lateral circulations cause high lateral advection that decreases the vertical gradients of salinity.  
527 This promotes vertical mixing, which combines with the lateral advection to make the salinity and  
528 DO profiles vertically uniform.

529 Along-channel winds not only serve as an energy source for turbulence mixing but can also  
530 alter the exchange flow and strain the along-channel salinity gradient to modify vertical  
531 stratification. Scully et al. (2005) found that in the York River Estuary in Virginia, down-estuary  
532 winds enhance the exchange flow, strain the along-channel density field and increase the  
533 stratification while up-estuary winds reduce them. In this study, reversed exchange flow was  
534 observed during up-estuary winds, causing advection that decreased the stratification ultimately  
535 leading to high vertical mixing. Chen and Sanford (2009) simulated an idealized estuary and found  
536 that the exchange flow and stratification first increase then decrease as down-estuary wind speed



537 increases. In this study, both the observations and model results capture the deepening of the  
538 surface mixed layer after the onset of down-estuary wind. From observations, as the wind  
539 increased, the exchange flow and stratification increased at first and then decreased and finally  
540 disappeared after the surface mixed layer reached the stratification layer and created strong vertical  
541 mixing.

542 The simulations further reveal that under small and moderate speeds (5 and 10 m/s), wind acts  
543 primarily to strain the along-estuary salinity gradient even though the bottom high salinity layer  
544 becomes thinner with the increase of the wind speed. Only after the wind exceeds a threshold (15  
545 m/s) does vertical mixing dominate over straining and erode the stratification layer until it  
546 disappears. Chen and Sanford (2009) derived a modified horizontal Richardson number ( $Ri_{x,new}$ ),  
547 a ratio of vertical buoyancy flux due to turbulent diffusion ( $B_{turb}$ ) to horizontal buoyance flux  
548 ( $B_{shear}$ ), to include wind straining and wind mixing. The wind straining prevails when  $Ri_{x,new} >$   
549 1 and vice versa. For our study, we calculated  $Ri_{x,new}$  based on model output once steady state  
550 was reached. The results are consistent with former findings.  $Ri_{x,new}$  is 4.56 during 5 m/s wind  
551 and 1.05 during 10 m/s wind, meaning the wind straining dominates.  $Ri_{x,new}$  reduces to 0.48 ( $< 1$ )  
552 during 15 m/s wind, meaning the wind mixing prevails.

553 DO dynamics follow the changes in estuarine circulation and stratification and are also  
554 influenced by biological processes. Scully (2010b) simulated the changes of the volume of bottom  
555 hypoxic water under different wind conditions in the Chesapeake Bay. Their goal was to isolate  
556 the role of physical processes on oxygen dynamics by assuming the biological processes are  
557 constant in both time and space. They assume the respiration rate is a constant throughout the  
558 estuary and no photosynthesis. They include the air-sea exchange but neglect the sediment oxygen  
559 demand. Compared to a no wind situation, they found that winds coming from all directions

560 (N,S,E,W) tend to decrease the bottom hypoxia volume, although the extent differs. Lateral  
561 circulation due to Ekman transport and vertical mixing caused by the decrease of stratification are  
562 two dominant reasons. In this study, more complete biological processes are considered as the  
563 photosynthesis and sediment oxygen demand are taken into account. Photosynthesis in the surface  
564 layer tends to increase the vertical gradient of the DO, which could contribute to a higher vertical  
565 turbulent diffusive DO flux through the halocline, increasing the bottom layer DO concentration.  
566 Sediment oxygen demand acts as a sink at the bottom boundary and tends to create a vertical  
567 gradient of DO close to the seabed, inducing vertical mixing to diffuse DO from the water to the  
568 seabed and decreasing bottom layer DO. Thus the competition of these two effects, accompanied  
569 with the physical processes, controls the overall value of the vertical mixing within the bottom  
570 layer. When the stratification is strong (5 and 10 m/s down-estuary wind scenarios), the turbulent  
571 flux of DO through the halocline is weaker than bottom layer respiration and the sediment oxygen  
572 demand reducing the bottom layer DO concentration. When the stratification is eroded  
573 (observations, 15 m/s down-estuary wind and up-estuary wind scenarios), vertical mixing of DO  
574 through the halocline is stronger than the combined sediment oxygen demand and bottom layer  
575 respiration, increasing the bottom layer DO. Lateral advection caused by lateral circulation is  
576 strong under cross-channel winds and combines with vertical mixing to increase the bottom DO.

577

#### 578 **4.2 Response of stratification and bottom DO to wind over 6-month deployment**

579 While the general pattern that the  $\Delta S$  increased during down-estuary wind and decreased during  
580 up-estuary wind and the bottom DO is inversely correlated with it holds over the 6-month period,  
581 there are several interesting exceptions. One exception is the event between June 15<sup>th</sup> to 16<sup>th</sup>  
582 (second black box in Figure 2). The wind blew toward downstream and the bottom DO decreased

583 at first but quickly increased again even though the stratification kept increasing. Because the  
584 patterns in salinity and DO are different, this decrease in DO is clearly the result of advection  
585 rather than vertical mixing. This might result from a change in the horizontal gradient of the DO,  
586 meaning the exchange flow brought higher DO water to the bottom of the study area through  
587 along-channel advection. Another exception is the event from June 20<sup>th</sup> to 21<sup>st</sup> that was analyzed  
588 and discussed above (third black box in Figure 2). During this event, the downstream wind strength  
589 and duration were sufficient that the surface mixed layer continued to thicken and wind mixing  
590 ultimately eroded the stratification completely causing the water column to become well-mixed.

591 The cross-channel wind component was usually less important than the along-channel wind  
592 component for the stratification and DO dynamics as the  $\Delta S$  and bottom DO are better correlated  
593 to along-channel component than cross-channel component in this 6-month period. But the effect  
594 of the lateral circulation to increase bottom DO could become dominant when the along-channel  
595 component was weak or the cross-channel component was strong. A typical example is the event  
596 between June 11<sup>th</sup> and 12<sup>th</sup> (first black box in Figure 2). The wind switched from upstream to  
597 downstream but at the same time the cross-channel part of the wind increased rapidly and became  
598 sufficiently strong that the homogenizing effect of the cross-channel wind component dominated  
599 over the straining effect of the downstream wind component, so stratification decreased and  
600 bottom DO increased. Another example of dynamics driven by the cross-channel wind component  
601 is the event between June 24<sup>th</sup> to 28<sup>th</sup> when the cross-channel wind component switched from  
602 positive to negative,  $\Delta S$  increased and then decreased, and the bottom DO decreased and then  
603 increased. The bottom high salinity and low DO layer was presumably pushed from one side of  
604 the estuary to the other side. The isopycnals and oxyclines flattened at first to increase the

605 stratification and decrease the bottom DO locally and then tilted again in the opposite direction to  
606 decrease the stratification and increase the bottom DO.

607 The response of the salinity and DO distributions to wind events in the Neuse are complex but  
608 follow some general patterns. The bottom high salinity region generally corresponds with the  
609 bottom low DO region. Stratification increases and bottom DO decreases only under small and  
610 moderate down-estuary wind situations. For all the other directions and speeds, wind tends to  
611 decrease the stratification and increase bottom DO, although the mechanisms differ depending on  
612 wind direction. When the wind is not purely along- or cross-channel, the interaction of these two  
613 parts together determines the hydrodynamics and DO dynamics in the estuary, and this is a topic  
614 that requires further study.

615

## 616 **5 Conclusions**

617 In this study, based on the observations and calculations of salinity and DO budgets from field  
618 data and GOTM simulations, we found distinct effects of the wind on salinity and DO distributions,  
619 depending on its direction and speed. Cross-channel wind drives lateral circulation and tilts  
620 isohalines and oxyclines, decreasing stratification, enhancing mixing and increasing bottom DO.  
621 Down-estuary wind can increase or decrease exchange flow and stratification, depending on the  
622 wind speed and duration. After the onset of down-estuary wind, exchange flow increases first,  
623 strengthening stratification and decreasing bottom DO. Wind also generates a surface boundary  
624 layer with high vertical mixing that deepens over time. Surface mixed layer deepening is halted by  
625 stratification at some depth, which increases with wind speed. For faster wind speeds, the layer of  
626 strong stratification can be eroded and bottom DO increases. Up-estuary wind decreases and can

627 even reverse the exchange flow, decreasing stratification, and promoting vertical mixing that  
628 homogenizes the salinity and DO profiles.

629 Our 6-month dataset illustrates that, while the patterns described above generally hold for  
630 purely across- or along-channel wind events, wind effects on estuarine dynamics and hypoxia are  
631 considerably more complex than this idealized picture because the wind direction can be at any  
632 angle to the estuarine axis and it varies continuously with time. Additionally, the biological  
633 processes of photosynthesis, respiration and sediment oxygen demand are known to vary in space  
634 and time, and significantly affect the DO budget and distribution. While this study illustrates that  
635 wind can profoundly affect salinity and DO distributions in estuaries both directly, though wind  
636 mixing and advection by wind-driven along-estuary and lateral circulation, and indirectly through  
637 straining of the density field which modifies stratification and hence vertical mixing, further work  
638 on the complexities is clearly needed.

639

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644 Data from the ModMon dataset (<https://paerllab.web.unc.edu/modmon/>) were also used in this  
645 study.

646

#### 647 **Open Research:**

648 Observation datasets and model input files are available online  
649 (<https://doi.org/10.5061/dryad.7sqv9s4zh>).

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763

764 Table 1. Values and units of parameters used in Eq. 3 to Eq. 6.

Parameters	Values
$PQ$	1.24
$C_{chl}$ (mg/L)	0.03
$P_{max}$ (mg <sub>carbon</sub> /mg <sub>chl</sub> /s)	0.0012
$\alpha$	0.0518
$K_d$ (1/m)	-1.27
$I_{max}$ ( $\mu\text{mol}/\text{m}^2/\text{s}$ )	2000

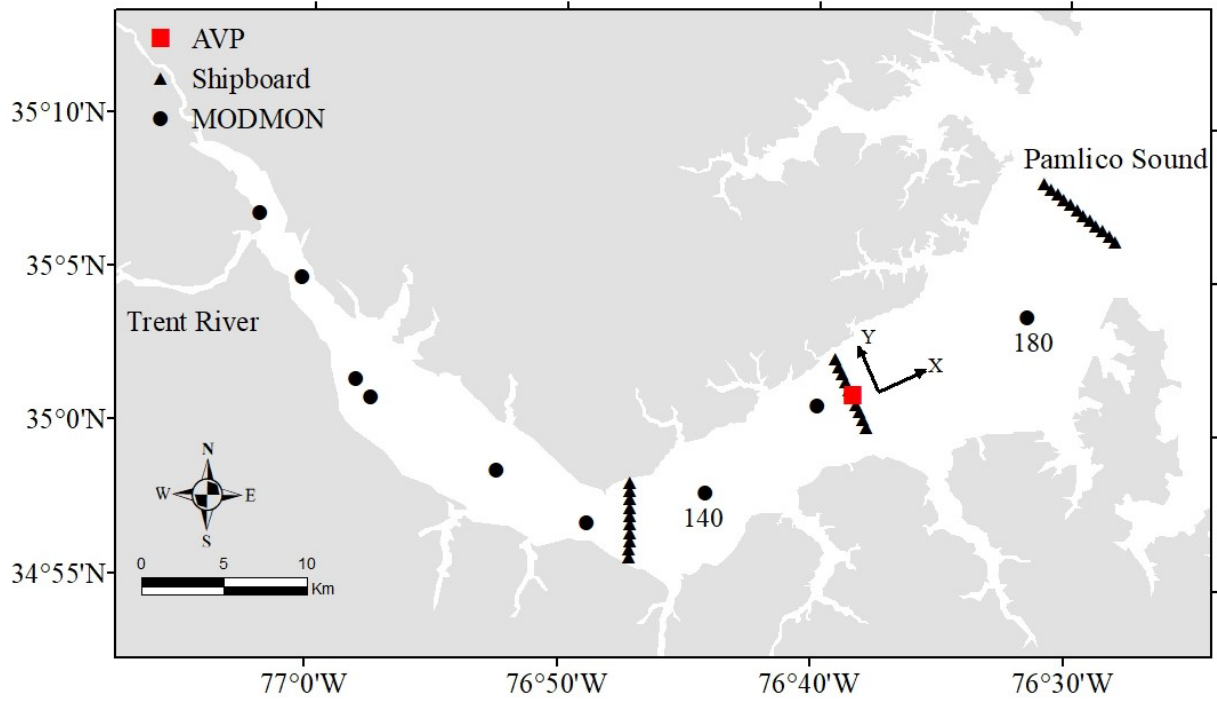
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766

767 Table 2. Values and units of parameters for the simulations.

Parameters	Values
Down-estuary wind (m/s)	5; 10; 15
Up-estuary wind (m/s)	5
Cross-channel wind (m/s)	5
$\frac{\partial S}{\partial x}$ (PSU/m)	$1.20 \times 10^{-4}$
$\frac{\partial S}{\partial y}$ (PSU/m)	$-1.05 \times 10^{-4}$
$\frac{\partial C_{O_2}}{\partial x}$ (mg/(L * s))	$1.68 \times 10^{-5}$
$\frac{\partial C_{O_2}}{\partial y}$ (mg/(L * s))	$3.51 \times 10^{-4}$
River Inflow (m/s)	0.01
$z_0$ (m)	$6.8 \times 10^{-3}$
$C_d$	$1.14 \times 10^{-3}$

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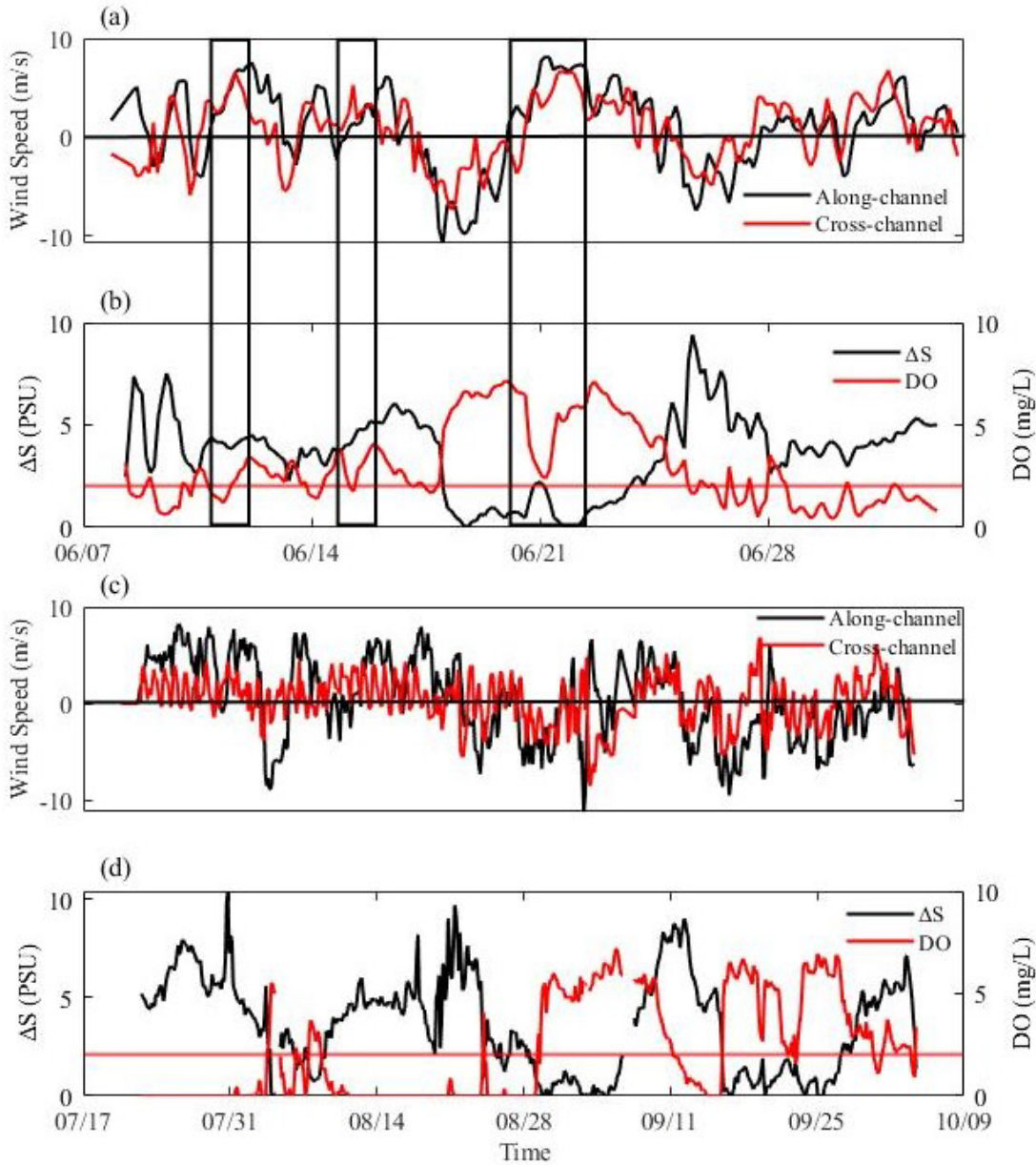


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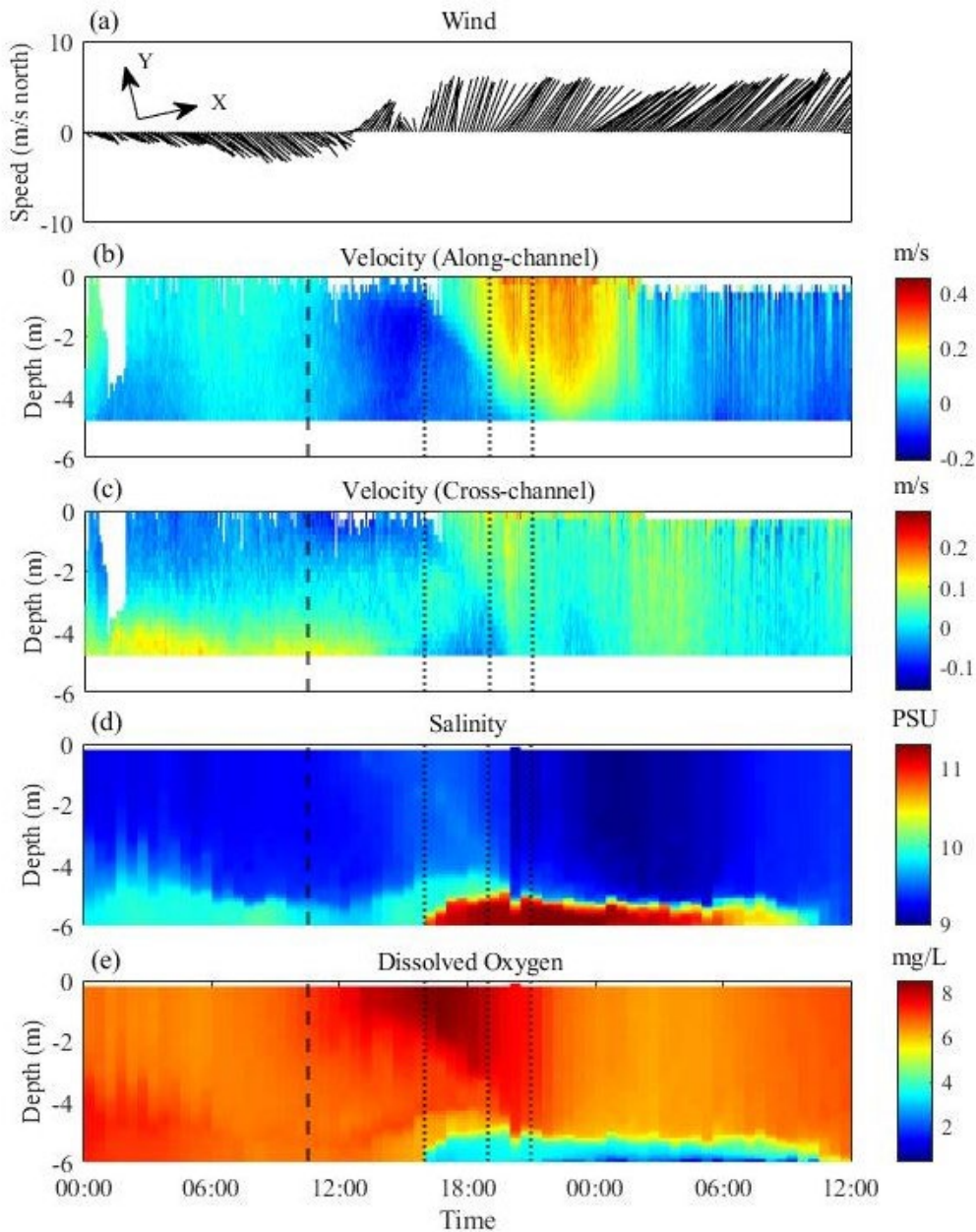
770 Figure 1. Study area and observation sites in the Neuse Estuary. The red rectangle is the central  
 771 station where the AVP and ADCP were located. The black triangles indicate shipboard observation  
 772 sites. The black circles are the MODMON stations, with stations 140 and 180 indicated.

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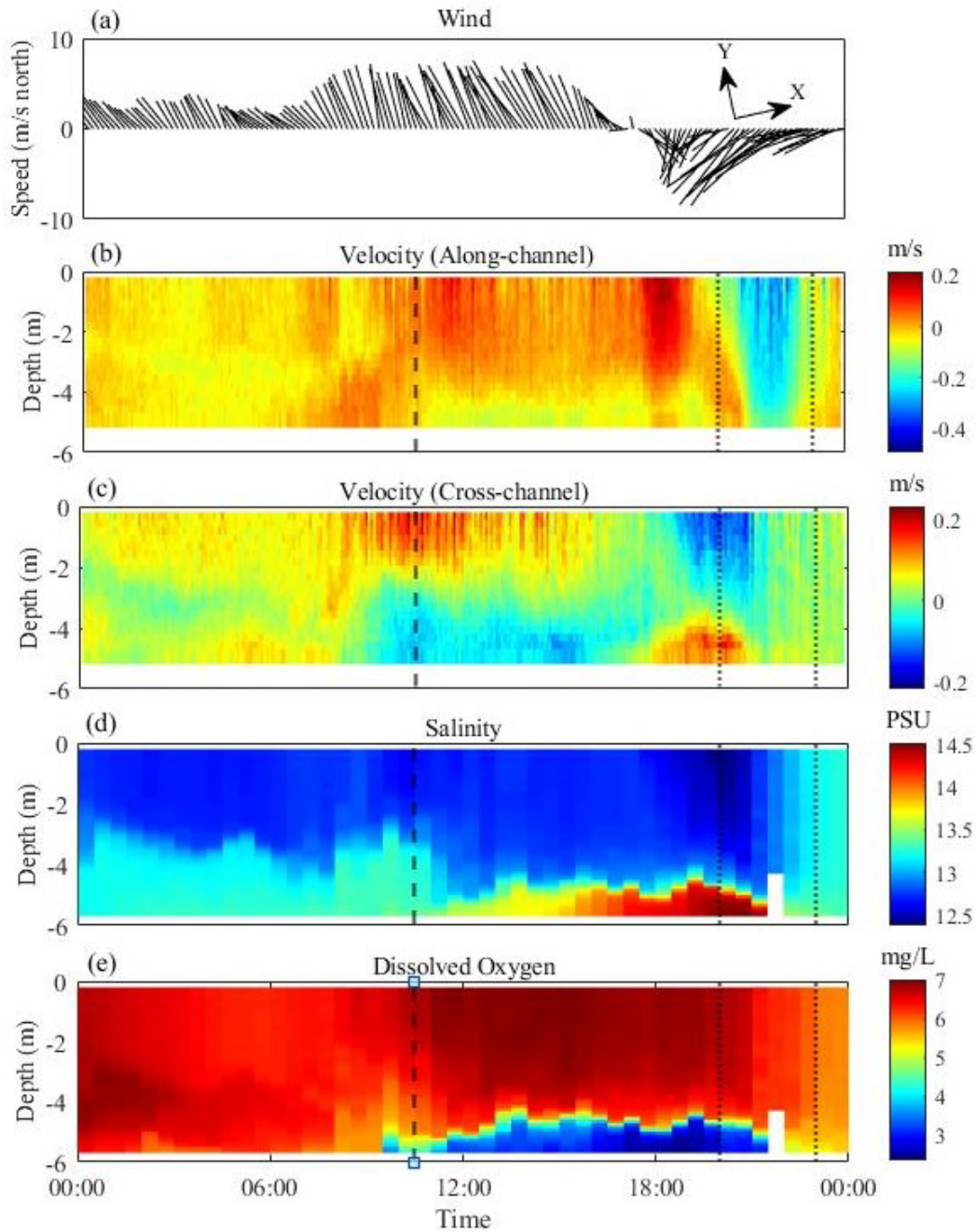
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775  
 776 Figure 2. Time series of 3h-averaged along-channel wind (black), cross-channel wind (red),  
 777 salinity difference between surface and bottom 0.5 m ( $\Delta S$ , black) and DO averaged over bottom  
 778 0.5 m (red) from a,b): June 8<sup>th</sup> to July 4<sup>th</sup> and c,d): July 20<sup>th</sup> to October 4<sup>th</sup>. In a) and c), the black  
 779 line is 0 m/s wind. In b) and d), left y-axis is  $\Delta S$  and right y-axis is DO and the red line is 2 mg/L  
 780 for DO. Positive value for along-channel wind means towards downstream and for cross-channel  
 781 wind means toward the north shore.

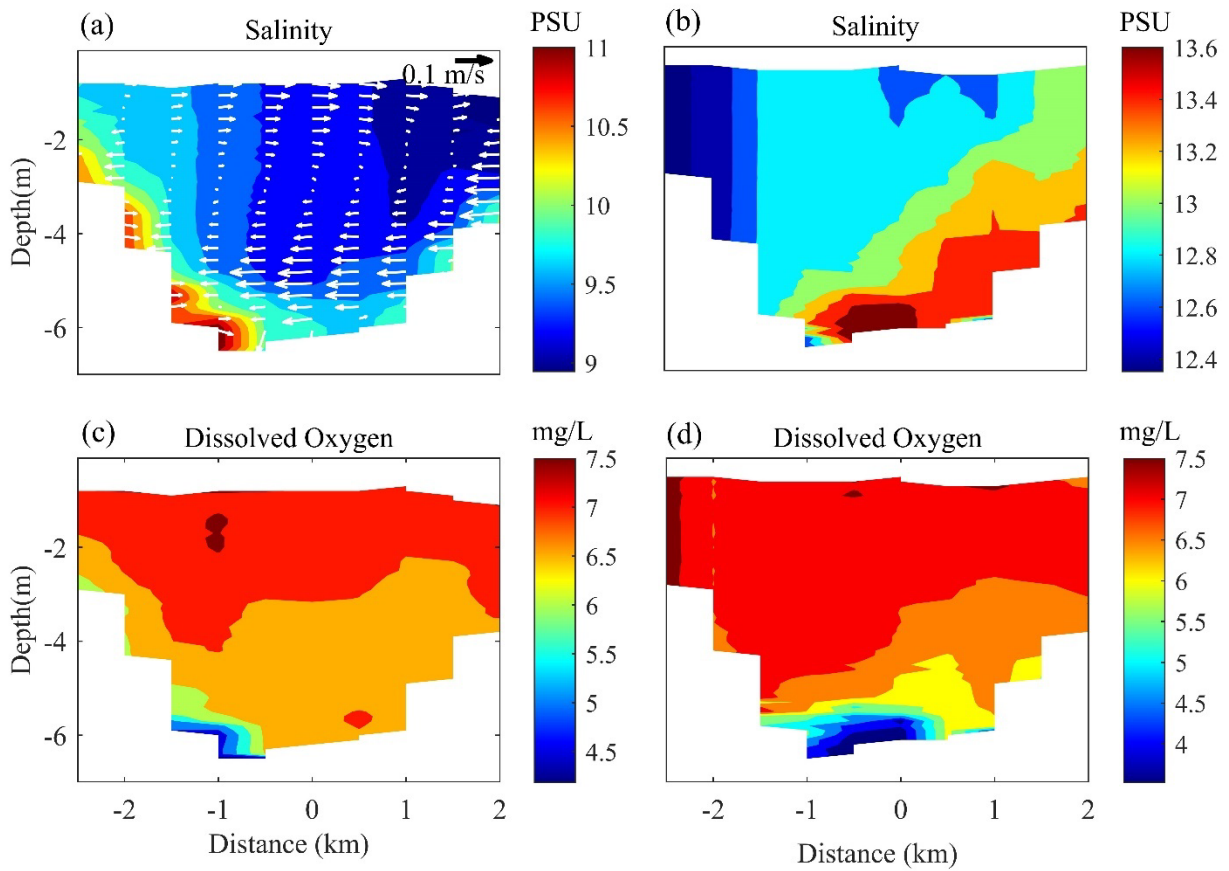


782  
 783 Figure 3. Time series of a) wind velocity, b) along-channel velocity component, c) cross-channel  
 784 velocity component, d) salinity) and e) dissolved oxygen on June 20<sup>th</sup> and June 21<sup>st</sup> at AVP station.  
 785 Positive along-channel is toward down-estuary and positive cross-channel is toward the north  
 786 shore. The black dashed line is the time (10:30) for the budget calculations for the cross-channel  
 787 wind case. The black dotted lines are the times (16:00, 19:00, 21:00) for the budget calculations  
 788 for the down-estuary wind case.



789  
 790 Figure 4. Time series of a) wind velocity, b) along-channel velocity, c) cross-channel velocity, d)  
 791 salinity, and e) dissolved oxygen on Sept. 19<sup>th</sup> at the AVP station. Positive along-channel is down-  
 792 estuary and positive cross-channel is toward the north shore. The black dashed line is the time  
 793 (10:30) for the budget calculations for the cross-channel wind case. The black dotted lines are the  
 794 times (20:00, 23:00) for the budget calculations for the up-estuary wind case.





795

796 Figure 5. Contours of salinity and dissolved oxygen across the estuary at the central shipboard

797 transect at noon on (a, c) June 20<sup>th</sup> and (b, d) Sept. 19<sup>th</sup>. X-axis is the distance to the central (AVP)

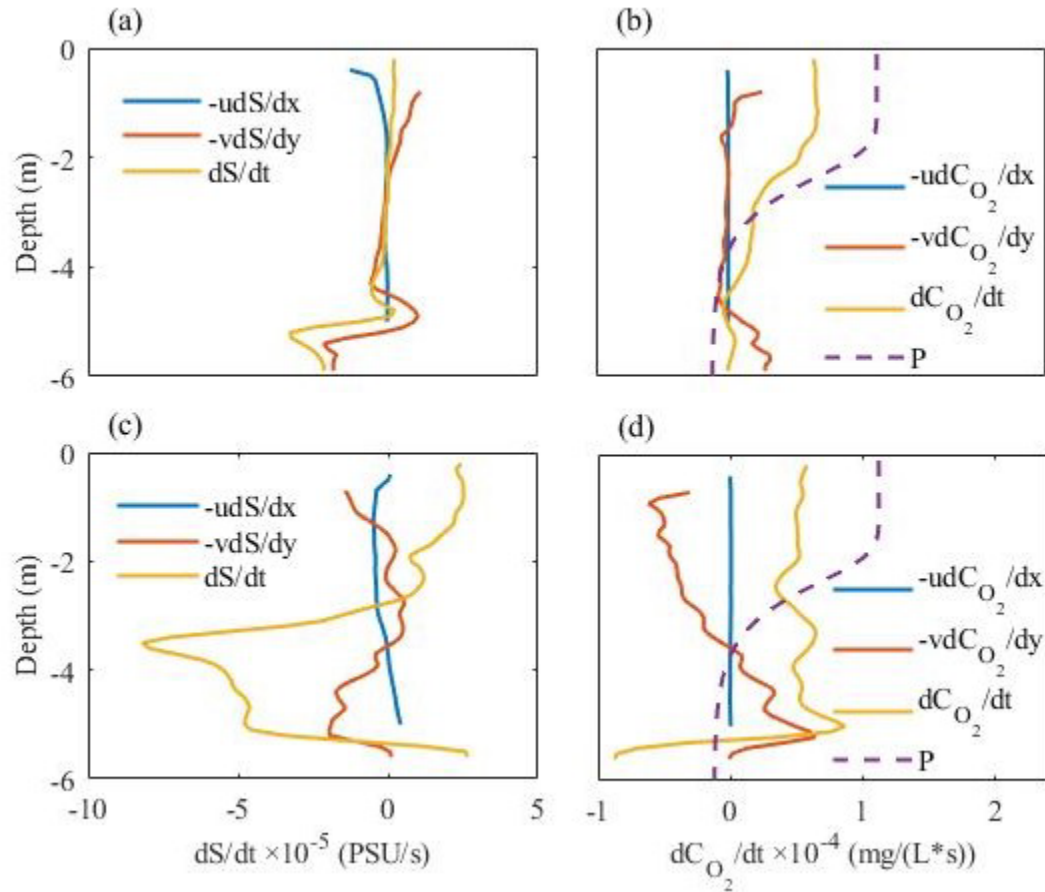
798 station. The distance between each station is 500 m. The left side is the north shore and the right

799 side is the south shore and the profiles are looking down-estuary. The white arrows in (a) are the

800 cross-channel velocity.

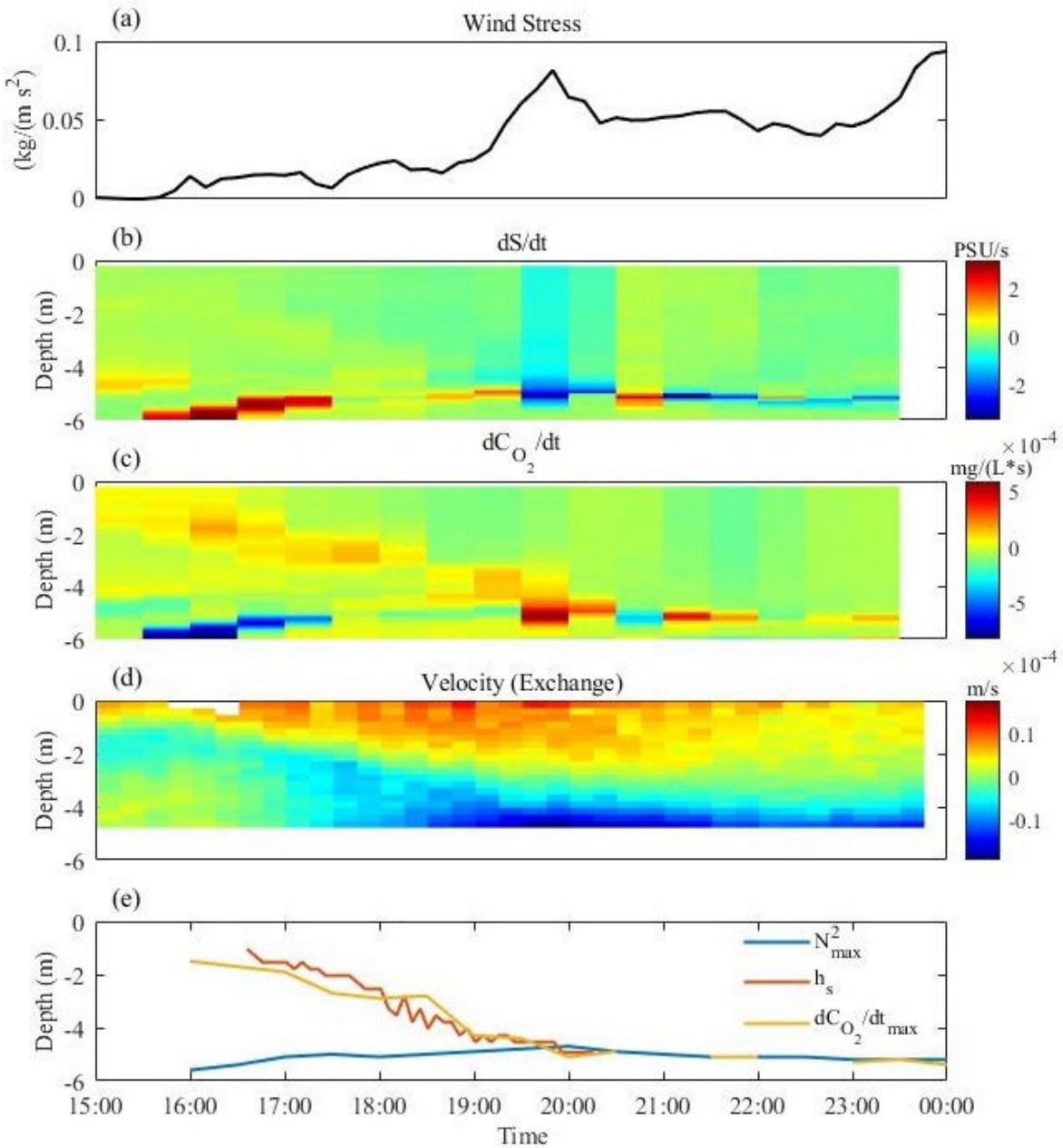
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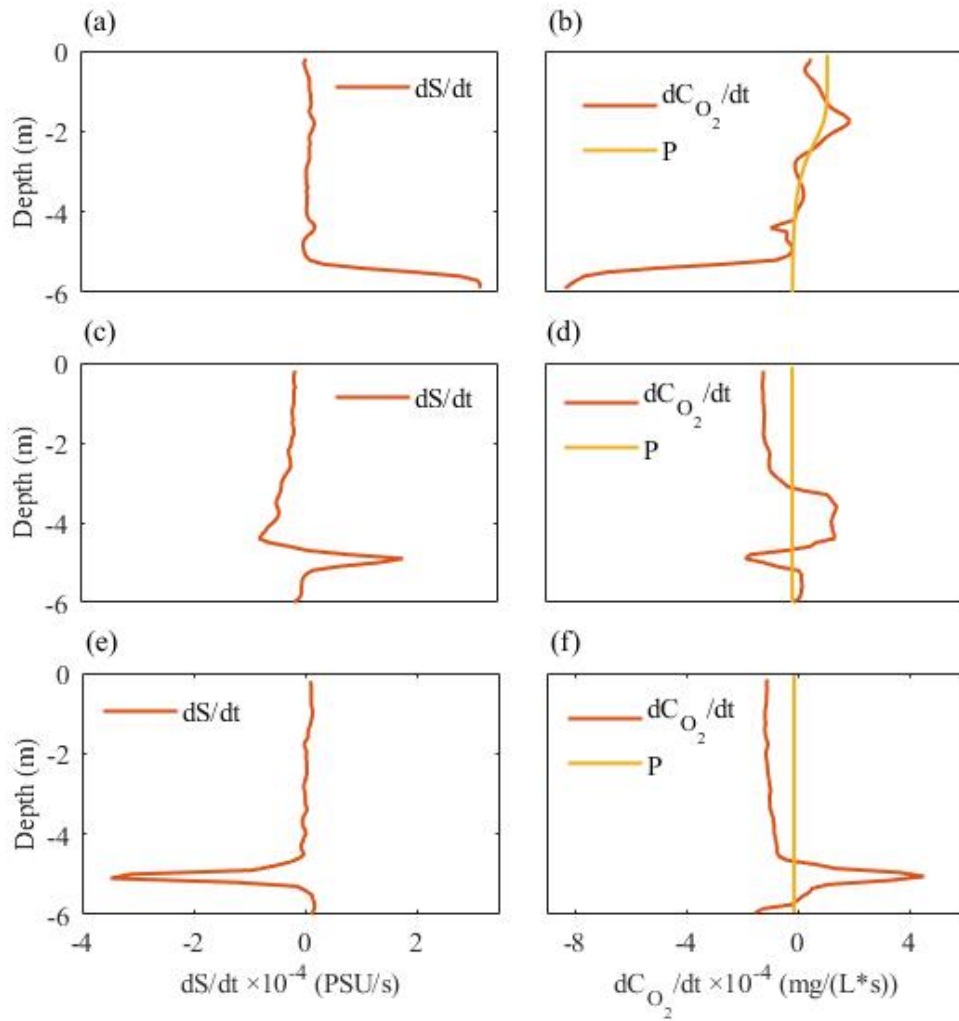


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 804 Figure 6. Vertical profiles of salinity and dissolved oxygen budget terms for the cross-channel  
 805 wind at 10:30 on (a, b) June 20<sup>th</sup> and (c, d) Sept. 19<sup>th</sup>. Blue and red curves are the along-channel  
 806 and cross-channel advection terms and the yellow curve is the total time rate of change of the  
 807 salinity or dissolved oxygen concentration. The dashed purple curve is the net production term,  
 808 the sum of production and respiration.

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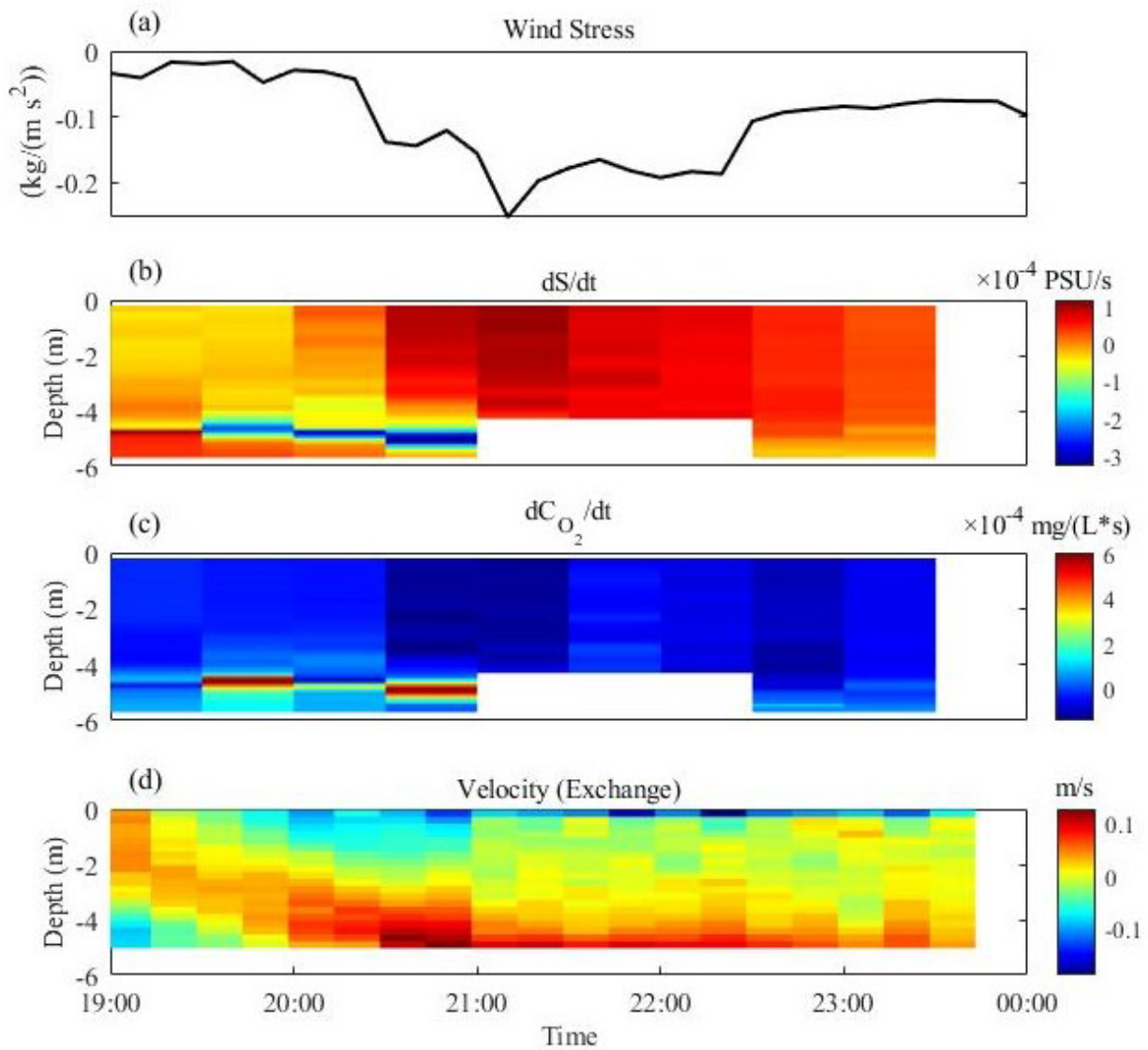


811  
 812 Figure 7. Time series of a) the along channel wind stress, b) the time rate of change of salinity, c)  
 813 time rate of change of dissolved oxygen, d) exchange velocity (actual minus depth-average  
 814 velocity) and e) depths of the highest buoyancy frequency ( $N^2$ , blue), depths of the surface outflow  
 815 layer ( $h_s$ , red) and the depths of the highest positive  $\frac{dC_{O_2}}{dt}$  (yellow) on June 20<sup>th</sup> during the down-  
 816 estuary wind event.



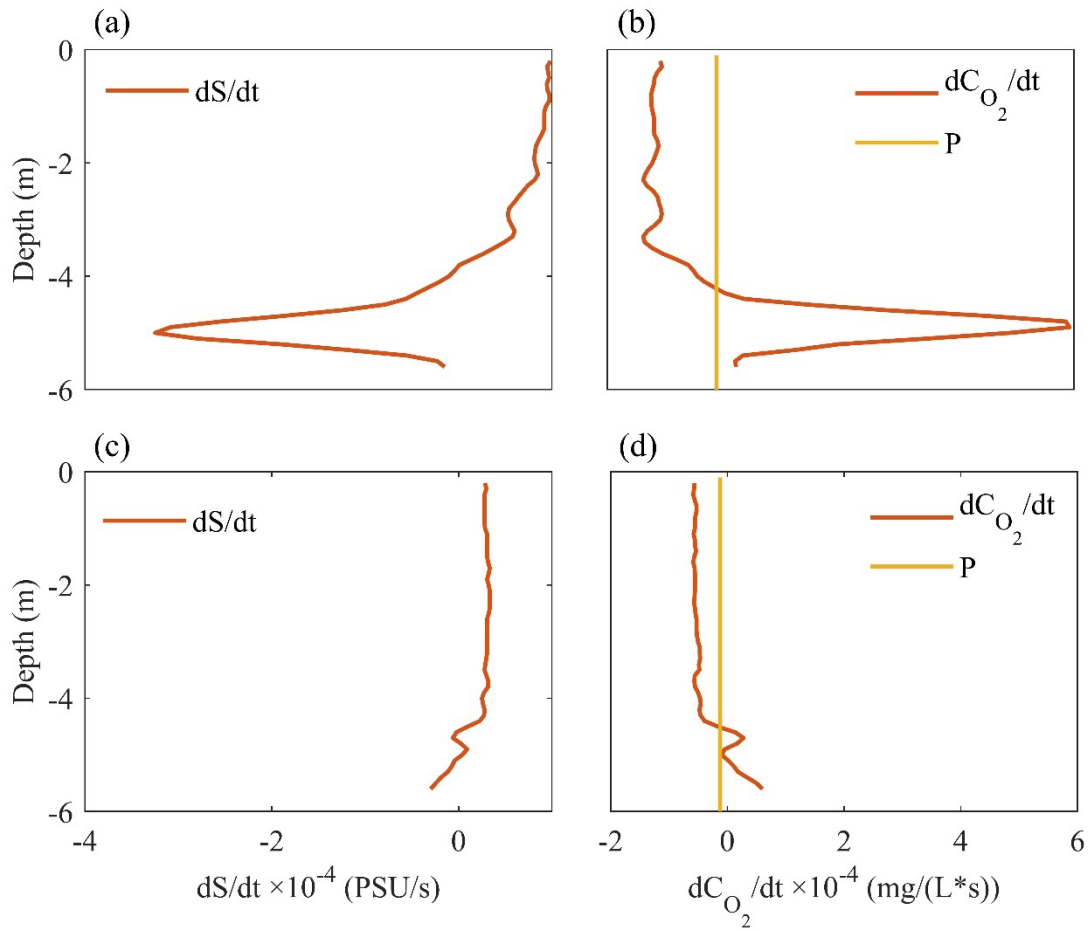
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 818 Figure 8. Vertical profiles of the time rate of change of (a,c,e) salinity, and (b,d,f) dissolved oxygen  
 819 (red) along with production and respiration (yellow) during the down-estuary wind event at (a,b)  
 820 16:00, (c,d) 19:00 and (e,f) 21:00 on June 20<sup>th</sup>.

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 825 Figure 9. Time series of a) along-channel wind stress, b) the time rate of change of salinity, c) time  
 826 rate of change of dissolved oxygen and d) exchange velocity (actual velocity minus depth-averaged  
 827 velocity) during the up-estuary wind event on Sept. 19<sup>th</sup> from 19:00 to the end of the day.

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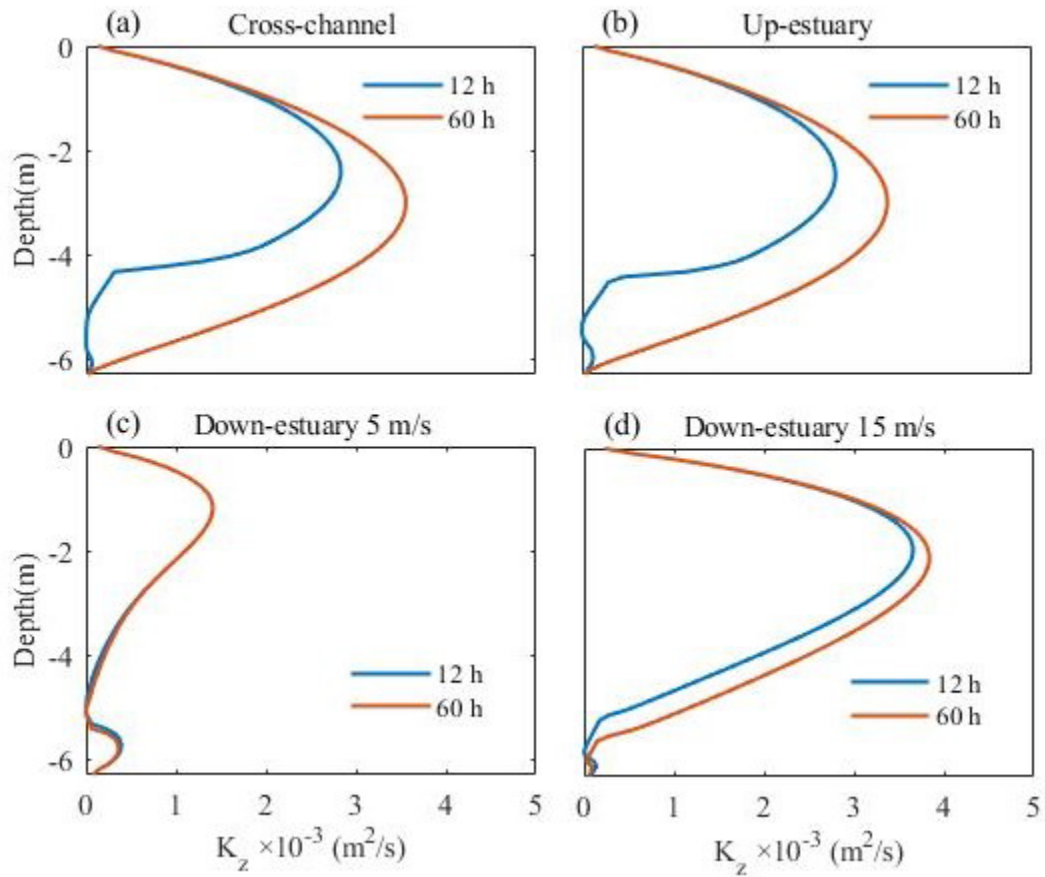
830 Figure 10. Vertical profiles of the (a, c) time rate of change of salinity, and (b,d) time rate of change

831 of dissolved oxygen (red) and production and respiration (yellow) during the up-estuary wind

832 event at (a,b) 20:00 and (c,d) 23:00 on Sept.19<sup>th</sup>.

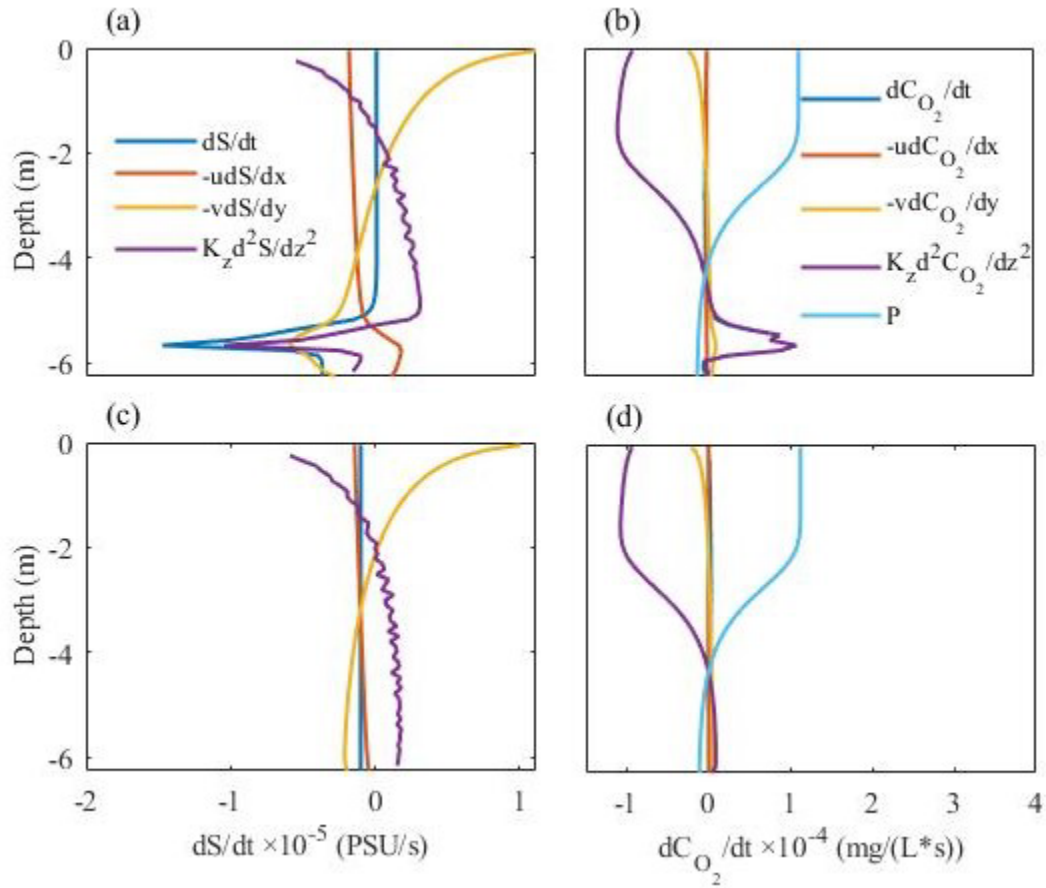
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 836 Figure 11. Vertical profiles of eddy diffusivity ( $K_z$ ) from GOTM simulation after 12h (blue) and  
 837 60h (red) in a) cross-channel wind case, b) up-estuary wind case, c) 5 m/s down-estuary wind case  
 838 and d) 15 m/s down-estuary wind case.

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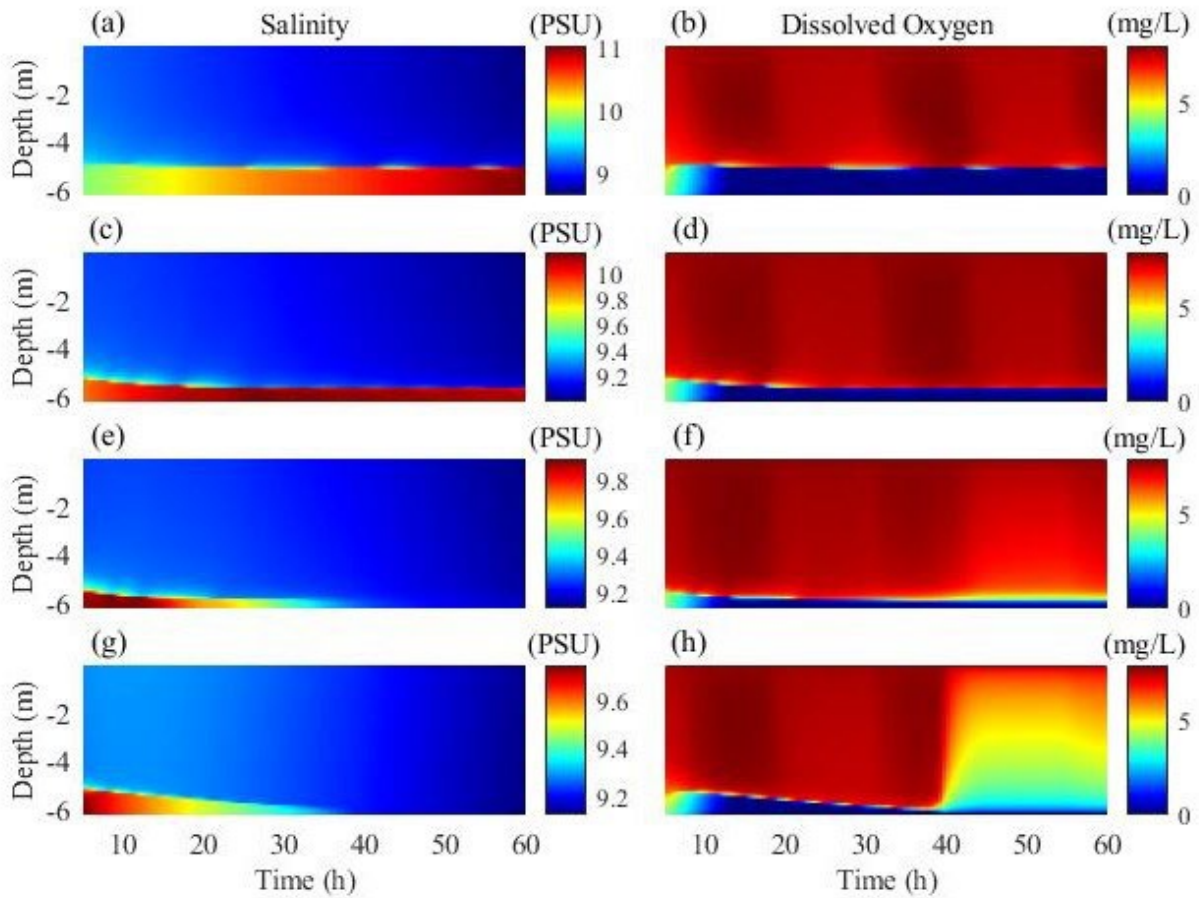
843 Figure 12. Vertical profiles of salinity budget terms from GOTM simulations of cross-estuary wind

844 after a) 12 hours and c) 60 hours and dissolved oxygen budget (DO) at b) 12 hours and d) 60 hours.

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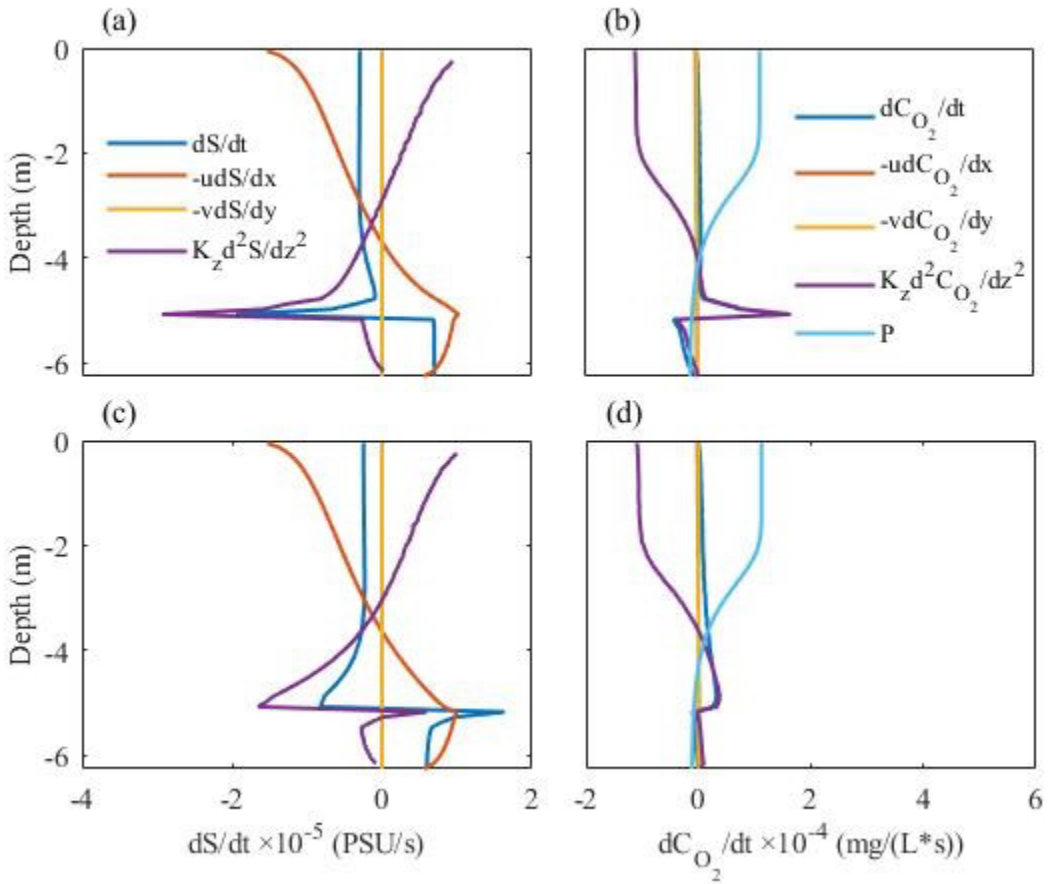




847  
 848 Figure 13. Time series of salinity and dissolved oxygen profiles from GOTM simulations with (a,b)  
 849 5m/s, (c,d) 10 m/s and (e,f) 15 m/s down-estuary wind and (g,h) up-estuary wind.

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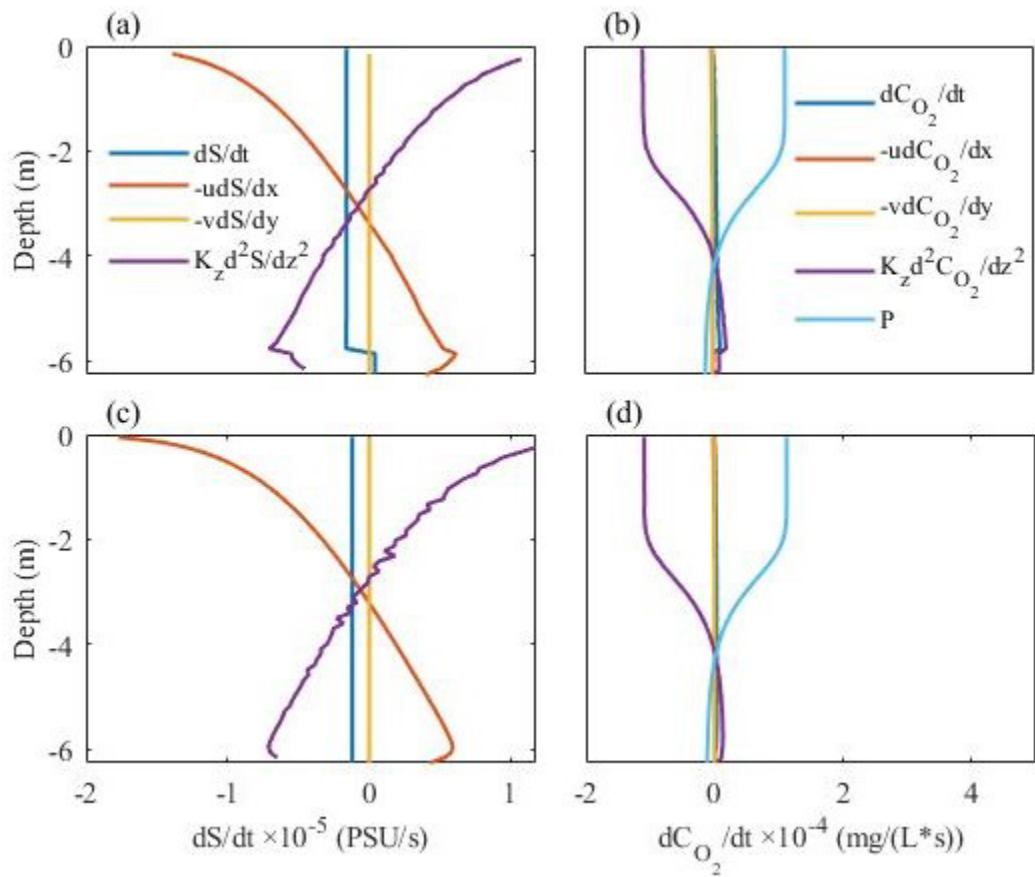


852

853 Figure 14. Vertical profiles of salinity budget terms from GOTM simulations of 5 m/s down-

854 estuary wind after a) 12 hours and c) 60 hours and dissolved oxygen budget (DO) at b) 12 hours

855 and d) 60 hours.



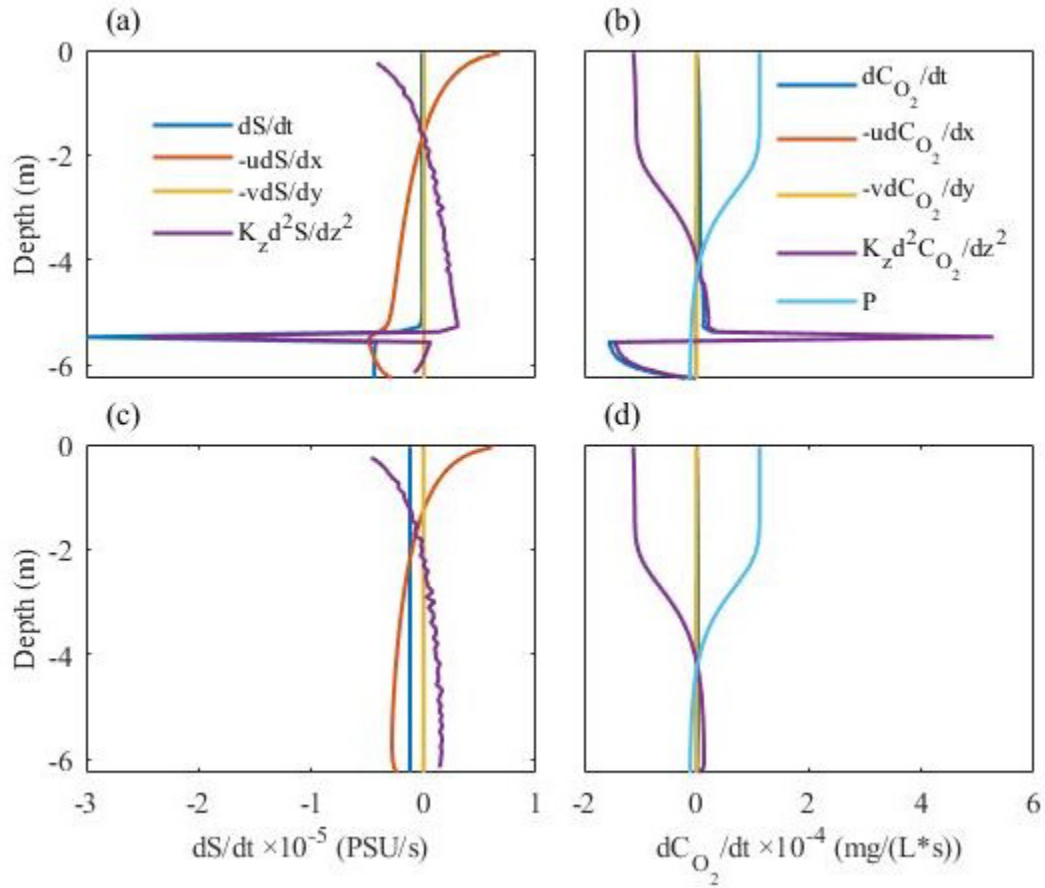
856

857 Figure 15. Vertical profiles of salinity budget (Equation 1) and dissolved oxygen budget (Equation

858 2) terms from idealized simulations at 1 month for (a, b) 10 m/s and 60 hours for (c, d) 15 m/s

859 down-estuary wind scenarios.

860



861  
 862 Figure 16. Vertical profiles of salinity budget terms (Equation 1) from idealized simulations of up-  
 863 estuary wind at a) 12 hours and c) 60 hours and dissolved oxygen budget terms (Equation 2) at b)  
 864 12 hours and d) 60 hours.